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पृष्ठ सं. 1  
दि. 22/8/19

## Design & Analysis of Algorithm

1. Analysis of algorithm & Asymptotic notations.
2. Design strategies
  - Divide & Conquer
  - Greedy methods
  - Dynamic programming.
3. Biconnected Components, Art points, Bridges
4. Graph technique
5. Heap & Heapsort
6. P, NP, Hard, NP-Complete concepts.

### Text Books

1. Fundamentals of Computer Algorithm  
- Sahani.
2. Algorithm design -  
- Tarmassia
3. Introd. to Algo -  
Corman.

अश्रद्धा कायरता का निचोड़ है. श्रद्धा साहस का नवनीत है।

Algorithm:

consists of finite set of steps to solve a prog.

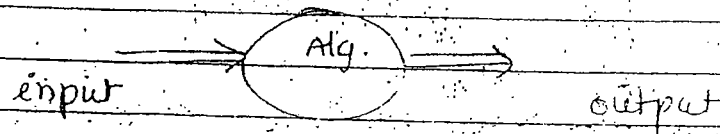
may contain one or more operators

↳ definite (clear)

→ effective.

Every algorithm takes 0 or more inputs

every algorithm expected to produce atleast 1 output.



\* Approaches for Solving Problems

1. problem (design) definition.

2. Condition / Requirement specifications  
↳ Constraints

3. Design

4. express in the form of Algorithm / flowchart

5. validate ( algo. which is used to check the algo. satisfying all requirements )

6. Analysis

7. program development.

8. Testing

9. Debugging.

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Algorithm is procedure / mechanism which transforms given input to desired output

### ② Need of Analysis :

1. to determine Resource Consumption

↳ Time  
↳ Space

2. Making performance Comparison bet<sup>n</sup> or than one algorithm to find best one

\* Time of execution depends on architecture of processor, Cpu speed

$$x = x + y$$

\* It also depends on the environment  
- programming language  
- operating system

$$x = x + y$$

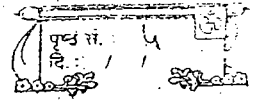
↑ Fundamental opert<sup>n</sup> 1 time on

Time taken by instruction depends on  
2 factors

Architecture environment  
time of exc. changes  
C, C++, Java  
extra time  
Non uniform

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Another is worst case running time has lower order of growth.



## ② Types of Analysis:

### 1. Apriori Analysis:

Analysis depends on m/c and programming language  
- uniform output

### 2. Postpriori Analysis:

Analysis depends upon OS and PL  
- Non uniform Result.

### ④ Apriori Analysis:

Its principle is to determine the order of magnitude of Statement / Construct

order of magnitude :-

Means frequency count of the fundamental operation in the Statement

$$① \quad x = x + y$$

order of magnitude

$$\Rightarrow \cdot 1$$

$$② \quad \text{for } i=1 \text{ to } n$$

$$x = x + y$$

$$\Rightarrow \cdot n$$

$$③ \quad \text{for } i=1 \text{ to } n$$

$$\text{for } j=1 \text{ to } n$$

$$x = x + y$$

$$\Rightarrow n^2$$

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$$T(S) \propto n$$

Time of Statement is proportional to order of magnitude

$$T(S) = Cn$$

$$f(n) = 1 + n + n^2$$

Time Complexity depends upon the power of 'n'

whose magnitude is Not more than  $n^2$

$$O(f(n)) = O(n^2)$$

either  $n^2$  or

less than  $n^2$

(highest order of magnitude)

### Asymptotic Notations :-

Used for knowing the behaviour of the algorithm

\* purposes

How does the function changes with respect to increase in the value of i/p

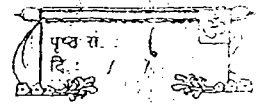
- If  $f(n)$  is a function,

how it changes with value of  $D = K \dots \infty$

Called Asymptotic notation

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②  $n \rightarrow$  time i/p  
 $\{ \text{worst case, best case, Avg} \}$



3 To find time with fixed size of i/p  
 ex. if there are 50 elements.

1, 2, 3, ..... n

arrangement of elements

n ..... 2, 3

< Decreasing

1, 2, 3 ..... n

Increasing

1, 7, 8, 10, ..., n, 5 ..... Random order

it is called finding, worst case, best case & average case complexity.

How does the algorithm behaves with the arrangement of input with fixed size

Def<sup>n</sup>: Big-oh ( $O$ ) :- Let 'f' & 'g' be Function  $f: \text{Set of Integer/Reals} \rightarrow \text{Reals}$   
 $f(x)$  is  $O(g(x))$   
 iff there exists constants  $C$  &  $K$   
 such that

$$f(x) \leq C \cdot g(x)$$

$$x > K$$

$$C > 0$$

Def<sup>n</sup>: Big-Omega ( $\Omega$ ) :  $f(x)$  is  $\Omega(g(x))$ , iff,

$$f(x) \geq c \cdot g(x) \quad c > 0, x > k$$

Theta ( $\Theta$ ) :  $f(x)$  is  $\Theta(g(x))$ , iff  $f(x)$  is  $O(g(x))$  &  $f(x)$  is

small-oh ( $o$ )  $f(x)$  is  $o(g(x))$ , iff  $f(x) < c \cdot g(x)$  for all  $c > 0$ ,

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Small-Omega ( $\omega$ ) :  $f(x)$  is  $\omega(g(x))$  iff  $f(x) > c \cdot g(x)$  for all  $c > 0$ ,  $n > K$

## ② Properties of Asymptotic Notations.

### 1. Reflexivity:

mapping to itself.

Big-Oh a)  
 (Upper bound)

$$f(x) \text{ is } O(f(x)) \checkmark$$

$$\text{Big-Oh } a \leq b \\ a = b$$

$$f(n) = n \quad O(n)$$

Big-Omega b)  
 (Lower bound)

$$f(x) \text{ is } \Omega(f(x)) \checkmark$$

$$a \geq b$$

Theta c)  
 (High bound)

$$f(x) \text{ is } \Theta(f(x)) \checkmark$$

$$a = b$$

Small-oh d)

$$f(x) \text{ is } o(f(x)) \times$$

$$a < b$$

Small-Omega (u)

This is not Reflexivity

### 2. Symmetric property:

$$\text{if } f(x) \text{ is } O(g(x))$$

$$a \leq b$$

$a \leq b$  need not mean

$$b \leq a$$

$O$  is not symmetric

$\Omega$  is also Not symmetric

$\Theta$  is symmetric

$o$  is also Not symmetric

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### 3. Transitivity:

if  $f(x)$  is  $O(g(x))$   
&  $g(x)$  is  $O(h(x))$

Then

$$\Rightarrow f(x) \text{ is } O(h(x))$$

ex.  $n$  is  $O(n^2)$

$n^2$  is  $O(n^3)$

Then  $n$  is  $O(n^3)$

all Notations  $O, \Omega, \Theta, o, w$

satisfy transitivity.

### 4. Symmetric Transpose:

if  $f(x)$  is  $O(g(x))$  Then  
 $g(x)$  is  $\Omega(f(x))$

ex.  $n$  is  $O(n^2)$

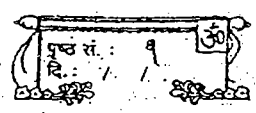
$n^2$  is  $\Omega(n)$

$O$  &  $\Omega$  ✓

$o$  &  $w$  ✓

all symmetrically transpose of each other

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## 5. Trichotomy property:

Relationship bet<sup>n</sup> two function  
There is always some relationship  
bet<sup>n</sup> two functions  $a$   
 $a < b$ ,  $a > b$ , or  $a = b$

But this relation have to be same for  
every value of  $n$  as:

$$f(n) = 2^n, \quad g(n) = n^2$$

Trichotomy ✓  
 $f(n) = \Theta(n^2)$   $g(n) = \frac{1}{2} \sin x$   
-1 to 1 ✗

for some values the relationship  
changes

Trichotomy satisfied by some functions

## 6. Intersection:

$$O(f(n)) \cap \Omega(f(n)) = \emptyset$$

= may be or may not be  
disjoint

$$a \not\leq b = a \geq b$$

$$O(f(n)) \cap \Omega(f(n)) = \emptyset$$

Never

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$$1. \sum_{k=1}^n O(n) \Rightarrow O(n^2)$$

$$f(n) = \sum_{i=1}^n i \Rightarrow O(n^2)$$

Sum of No. upto n  $\Rightarrow n^2$   
 $1+2+3+\dots+n$   
 $O(n^2)$

2) algorithm What(n)

if (n=1) the call = A(1)  
 else

{ What(n-1);  
 Call B(n);  
 }

A()  $\rightarrow O(1)$   
 B()  $\rightarrow O(n)$

$\Rightarrow$  let  $T(n)$  is Time taken by What(n)

$T(n) = \dots$  When  $n=1$

3) Counter  $\rightarrow 0$

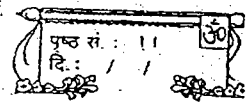
1 comparison

for (i=1; i<n; i++)

call to A()

$O(1)$  represent Constant, Constant

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$$T(n) = C$$

When  $n = 1$

$$= T(n-1) + \frac{1}{n} + 1$$

$n > 1$

$$T(n) = T(n-1) + \frac{1}{n} + 1 \quad \text{--- (1)}$$

$$T(n-1) = T(n-2) + \frac{1}{n-1} + 1$$

Substitute in eq<sup>n</sup> (1)

$$T(n) = T(n-2) + \frac{1}{n-1} + \frac{1}{n} + 1 + 1$$

(1)

(2)

$$T(n) = T(n-3) + \frac{1}{n-2} + \frac{1}{n-1} + \frac{1}{n} + 1 + 1 + 1$$

at some progression

$T(n-k)$  become  $T(1)$  then

we can put value  $C$  from Basic eq<sup>n</sup>

Sum +

$$T(n) = 1 + \frac{1}{n-3} + \frac{1}{n-2} + \frac{1}{n-1} + \frac{1}{n} + \frac{(1+1+1+\dots)}{n}$$

$$= \sum_{i=1}^n \frac{1}{i} = \int_1^n \frac{1}{x} = \log //$$

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$$= \log n + n$$

$$= n + \log n$$

③

$$\text{countel} = 0$$

for (i = 1; i < n; ++i)

{

if (A[i] == 1)

che

{

countel ++

f(countel) :

countel = 0;

}

A[1..n] Can take 0 or 1

f(m) is  $\Theta(m)$

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Cases

- ①  $A[i] = 1$   $O(n)$
- ②  $A[i] = 0$   $O(n)$
- ③  $A[i] = 1$  for half, & 0 for remaining

$$\frac{n}{2} + 1$$

$$\left(\frac{n}{2}\right) \text{ binary} \begin{cases} \text{1st, } \left(\frac{n}{2} + 1\right) \\ \rightarrow \left(\frac{n}{2} - 1\right) + 1 \end{cases}$$

$$= \frac{n}{2} + \frac{n}{2} + \frac{n}{2} + 1 - 1$$

$$= O(n)$$

$$j = n$$

$$j = 1$$

$$j = 1$$

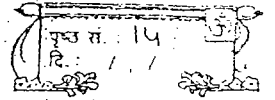
$$j = 1$$

$$j = 0$$

D

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

all  
↓ Big Oh



Ex

$$f(n) = O(g(n))$$

$$g(n) \neq O(f(n))$$

$$g(n) = O(h(n))$$

$$h(n) = O(g(n))$$

a)  $f(n) + g(n) = O(h(n) + h(n))$  ✓

b)  $f(n) = O(h(n))$  ✓

c)  $f(n) = O(g(n))$  ✗

d)  $h(n) \neq O(f(n))$  ✓

e)  $f(n) \cdot h(n) \neq O(g(n) \cdot h(n))$  ✗

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## Space Complexity

The Space Needed by algorithm  
sum of following components.

$$S(p) = C + S_p$$

Where

- ①  $C$  represents Constant space which is independent of the characteristics of inputs and output. This includes the instruction space, <sup>data, str.</sup> space for simple variables,  $\forall$  space for constants, and  $\therefore$  go whose sizes are known a priori. It also includes
- ②  $S_p$  represents The instance characteri which is variable part. <sup>space for dynamic data st aggregate variables.</sup> Which consists of space needed by <sup>reference</sup> components variables whose size is dependent on the particular problem instance being solved. The space needed by reference variable & the recursion stack space.

$C$  = Instruc space + size of variable, which are known at start.

Variable Independent of Instance

$A(n)$

Instance

अश्रद्धा कायरता का निचोड़ है: श्रद्धा साहस का प्रतीक है।

$S_p =$  Instance dependent variable p  
(Instant Rec. stack  
characteristic  
function)

ex

1

```
ABC (ent a, ent b, ent c)
{
    Return (a+b*c);
}
```

$$S(ABC) = \underbrace{C_1}_{\text{sys}} + \underbrace{3}_{C_2}$$

$$S_p = 0$$

$$S = C_2 = O(1)$$

2.)

```
procedure Sum(A, n)
{
```

ent i, Sum, n;

Sum = 0

for (i = 1 to n)

Sum += A[i]

Return (Sum);

Initialization  $\rightarrow 2$

increment  $\rightarrow n$

addition  $\rightarrow n$

assignment  $\rightarrow n$

comparison  $\rightarrow n+1$

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$$C = C_1 + 3$$

$$S(\text{sum}) = (C + C_1) \cdot C_2 + \text{sp}$$

$Sp = n$  // to store  $n$  variable  $A$   
depends on execution  $i$

$$\therefore S(\text{sum}) = C_{2+n}$$

2.1  $O(n)$

```

② procedure Rsum(A, n)
{

```

if ( $n \geq 0$ ) return (0);  
else

Alfred

return (Rsum (A, n-1) + A[n]);

3

$$\Rightarrow S(R_{\text{Lurs}}) \neq C +$$

Sp = D + ~~100~~ calls

depth of space

depth: No of calls

each cell req 1 word of mem.

n Recursive calls

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

$S(R\{um})$

$C + D + n$

↑  
n size Array

↑  
depth of stack

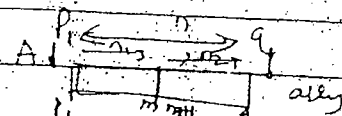
$C_1 + 2n$

$O(n)$

④

## Divide and Conquer :

# General method :



procedure DANDC (P, A, n, p<sub>1</sub>, q<sub>1</sub>)

if (SMALL(P, A, p<sub>1</sub>, q<sub>1</sub>)) then

return (G(P, q<sub>1</sub>))

else

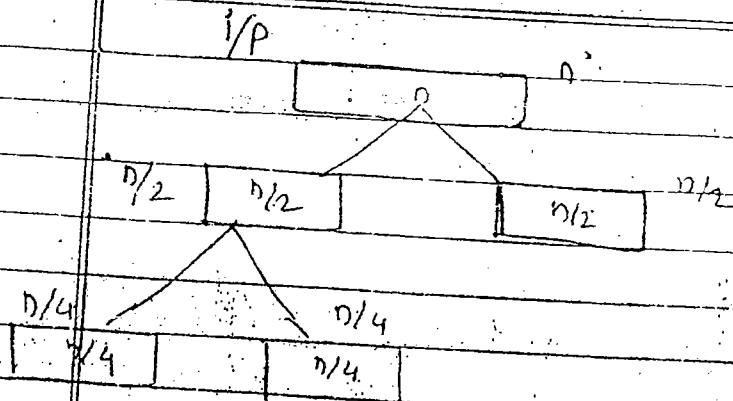
m ← DIVEDE (P, A, n, p<sub>1</sub>, q<sub>1</sub>)

return (COMBINE (DANDC (P, A, n, p<sub>1</sub>, m),

DANDC (P, A, n, m+1, q<sub>1</sub>))

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Stack



DANDC Recurrence Relation : (DANDC Mathema (model) Re

⇒ let  $T(n)$  represent Time Complexity of the procedure DANDC(n)

key  $T(n) = g(n)$ ,  $n$  is small

$g(n)$  : Time taken by solving g.c.r.d.

$n$  is large

$$T(n) = 2T(n/2) + f(n)$$

↳ Time to combine to halves

$$T(n/2) + T(n/2) + f(n)$$

$$T(n) = T(n/b) + f(n)$$

General Q<sup>n</sup>

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

\* procedure Straightminmax (A, n, min, max)

min ← max ← A[1]

for (i = 2 to n)

{

if (A[i] > max) then

max ← A[i]

else if

endif

(A[i] < min) then

min ← A[i]

end if

}

↳ else if case

Uniform case  
(Worst, best Avg.)  
same for all

Total No. of Comparisons  
=  $2(n-1)$

When modified to else

① Worst Case: elements are in Decreasing order  
 $O(n-1)$  or  $(2(n-1))$

② Best case Increasing order  
 $(n-1)$

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(1) 

1 12 8 -10 34 6 -3 39 48 52

पृष्ठ सं. : 21  
दि. : / /

(IX)

Arg. case :

half are increasing other  
decreasing

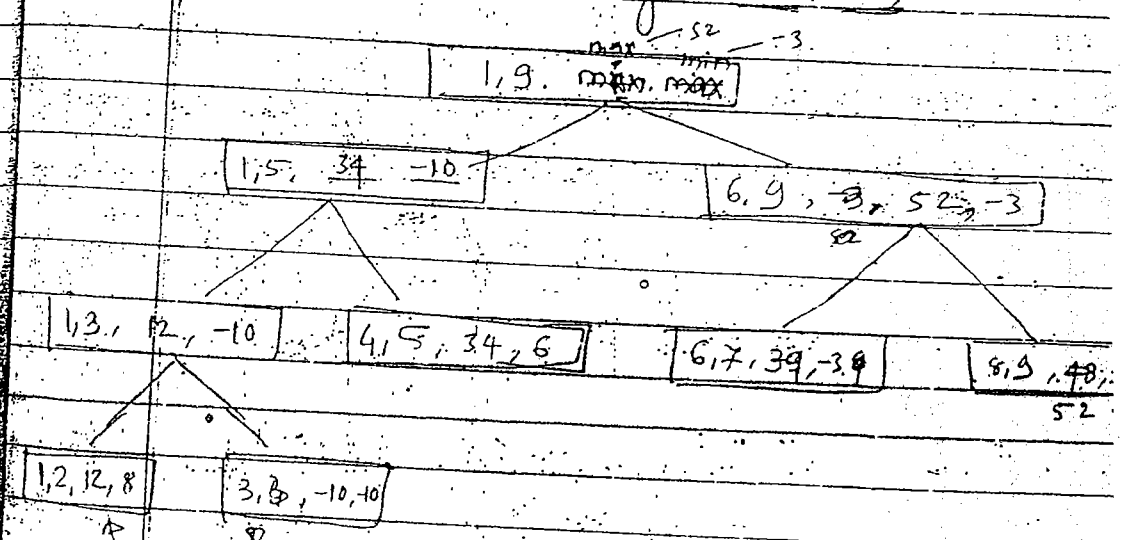
$$(n-1) + n/2$$

$$= \frac{3n}{2} - 1$$

Recursive

DA)

For finding Min, Max



Combine

only 2 comparisons

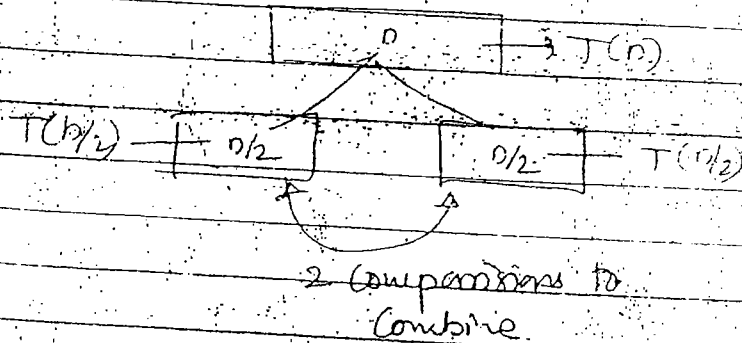
Arg. case  
(n-1)

⇒ Let  $T(n)$  represent total No. of element Comparisons in Divide AND Conquer Max min (n)

$$T(n) = 1 \quad n = 2$$

$$= 2T(n/2) + 2 \quad n > 2$$

When  $n > 2$ ,



Let  $n = 2^k$

$$T(n/2) = 2T(n/4) + 2 \quad \text{--- (1)}$$

$$T(n) = 2[2T(n/4) + 2] + 2$$

$$= 4T(n/4) + 4 + 2 \quad \text{--- (2)}$$

$$= 8T(n/8) + 8 + 4 + 2 \quad \text{--- (3)}$$

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$$T(n) = 2^3 T(n/2^3) + \sum_{i=1}^3 2^i$$

$$2^4 T(n/2^4) + \sum_{i=1}^4 2^i$$

$$= 2^K T(n/2^K) + \sum_{i=1}^K 2^i$$

after dividing  
then  $n \geq 2$

$$= 2^{K-1} T(n/2^{K-1}) + \sum_{i=1}^{K-1} 2^i$$

$$T(n) = 1$$

$$2^{K-1} T\left(\frac{n}{2^{K-1}}\right)$$

$$= 2^{K-1} T(2) \cdot 2^{K-2}$$

$$= \frac{2^K}{2} + 2^{K-2}$$

$$= \left(\frac{n}{2} + 2^{K-2}\right)$$

$$T(n) = \frac{3n}{2} - 2 \quad n \geq 2$$

$$S_n = a \frac{(r^n - 1)}{r - 1}$$

$$= 2 \frac{(2^{K-1} - 1)}{2 - 1}$$

$$= 2^K - 2$$

अभ्रद्धा कायरता का निचोड़ है, अभ्रद्धा साहस का नवनीत है।

Recurrence  $\frac{DANDC}{(3n-2)}$   $\leftarrow$   $\begin{cases} \text{Incr / decreasing} \\ \text{All} \end{cases}$

plain  $(n-1)$  Increasing

$$(2n-1) \longleftrightarrow \frac{3n}{2} - 2 \quad \checkmark$$

$$\frac{3n}{2} - 1 \longleftrightarrow \frac{3n}{2} - 2 \quad \checkmark$$

When elements are in Increasing order iterative method perform good.

$\Rightarrow$  But divide and conquer require extra memory for Stack space

$$S(\text{plain}) = C_1 + n$$

$$S(\text{DANDC}) = C_3 + n + \underbrace{(\log n)}_{\text{extra}}$$

$\log n$  if 8 elements are there depth of stack is 3

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## 2. DANDC-Merge Sort

Merging

$L_1(5, 9, 11, 15, 18)$      $L_2(4, 8, 12, 20, 22)$   
 $L_3(4, 5, 8, 9, 11, \dots)$

Gate

If  $L_1$  contains  $n$  elements,  $L_2$  contains  $m$  elements. What is  $O()$ ?

→ max comparisons will be  $O(m+n)$

Ex.

Ex

(1) (2) (3) (4) (5) (6) (7) (8) (9) (10)  
 A. 310, 285, 179, 652, 351, 423, 861, 254, 450, 52

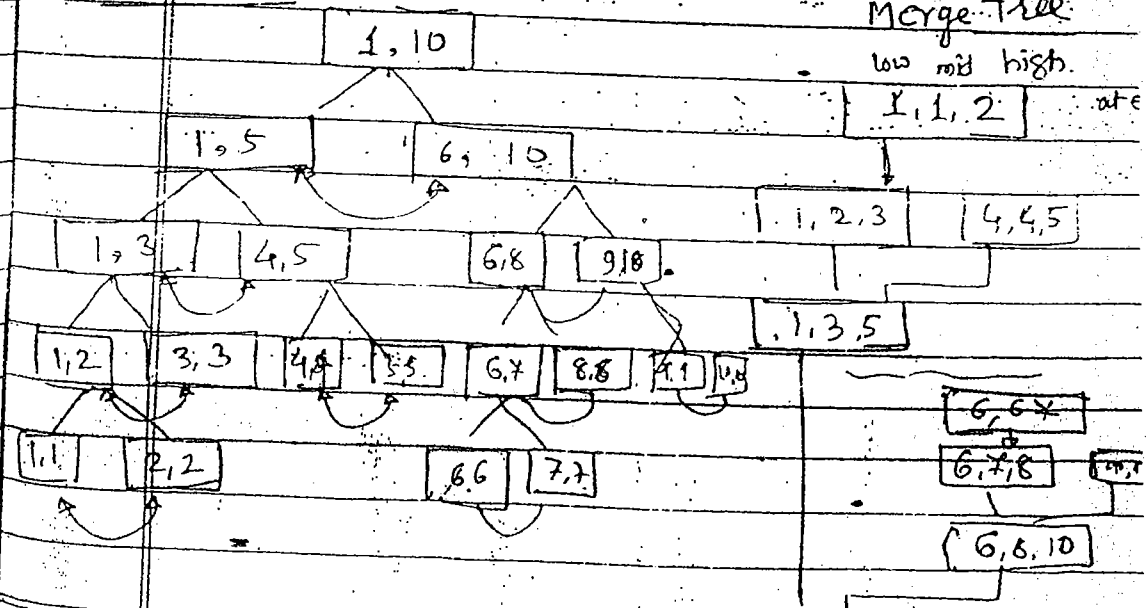
Recurrence:

Smallest element is one

Divide Tree

Merge Tree

low mid high.

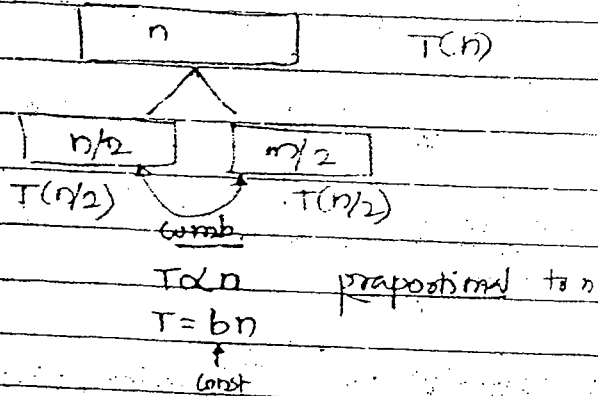


अश्रद्धा कायरता का निचोड़ है। अद्धा सुद्धि का नवनीत है।

\* Let  $T(n)$  represents The Timing complexity of Divide AND Conquer merge sort (n)

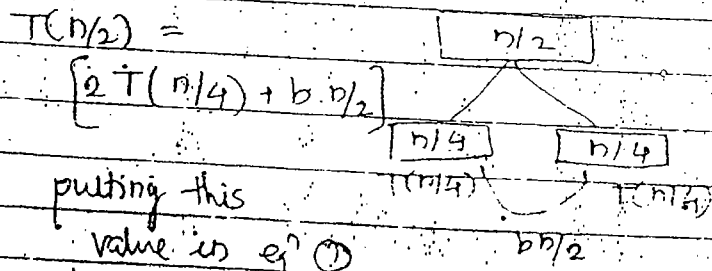
$$\Rightarrow T(n) = C(\text{const}) \quad n=1$$

$$= \quad n>1$$



$$T(n) = 2T(n/2) + bn \quad n > 1$$

$$T(n) = 2T(n/2) + bn \quad \text{--- (1)}$$



$$T(n) = 2[2T(n/4) + bn/2] + bn$$

$$= 4T(n/4) + \cancel{bn/2} + bn$$

$$T(n) = 4T(n/4) + 2bn \quad \text{--- (2)}$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

recursion

similarly

$$T(n) = 8T(n/8) + 3bn \quad \text{--- (3)}$$

after ample divisions  $n$  is enough small that  $T(n \text{ small}) = C$

$$\Rightarrow T(n/4) = 2T(n/8) + bn/4$$

$$T(n) = 8T(n/8) + 3bn \quad \text{--- (3)}$$

from eq<sup>n</sup> ① ② ③  $= 2^3 T(n/2^3) + 3bn$

$$(n = 2^k) \quad \text{--- (4)} \quad : (k = \log_2 n)$$

$k$  level

$$= 2^k T(n/2^k) + kbn$$

enough small

$$= 2^k T(1) + kbn$$

$$= n \cdot C + bn \log_2 n$$

$$T(n) = nc + bn \cdot \log_2 n$$

$$= O(n \log_2 n)$$

$$= O(n \log n)$$

$$O(n \log n)$$

अभ्रद्धा कायरता का निचोड है, अभ्रद्धा साहस का नवनीत है।-

Space Complexity for DANDC merge sort

$$n + n + \log n$$

$$O(n)$$

Ques: 2-way merge sort

each element is considered as one list.

A: [310] [285] [179] [652] [351] [423] [861] [544] [450] [520]

I [285, 310] [179, 652] [351, 423] [254, 861] [450, 520]

II [179, 285, 310, 652] [254, 351, 423, 861] [450, 520]

III [179, 254, 285, 310, 351, 423, 652, 861] [450, 520]

IV [179, 254, 285, 310, 351, 423, 450, 520, 652, 861]

every pass :  $n$  Comparisons

$(1 + \log n)$  Max. No. of Comparisons :  $n \log n$

↑  
Time Complexity

Time Complexity :  $O(n \log n)$

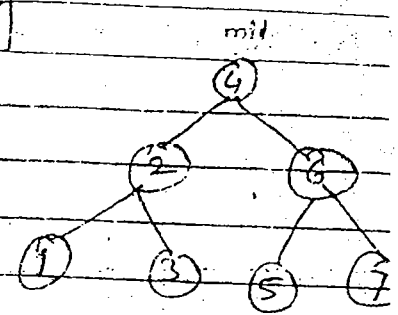
$$O(n \log n)$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।



### 3. DANDC: Binary Search.

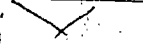
if  $[1, 2, 3, 4, 5, 6, 7]$



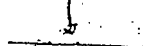
#### Binary Search Tree

| Complexity | Unsuccessful |
|------------|--------------|
| Best case  | $O(1)$       |
| Worst case | $O(\log n)$  |
| Avg case   | $O(\log n)$  |

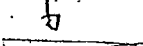
[50, 520]



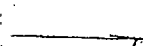
[50, 520]



[50, 520]



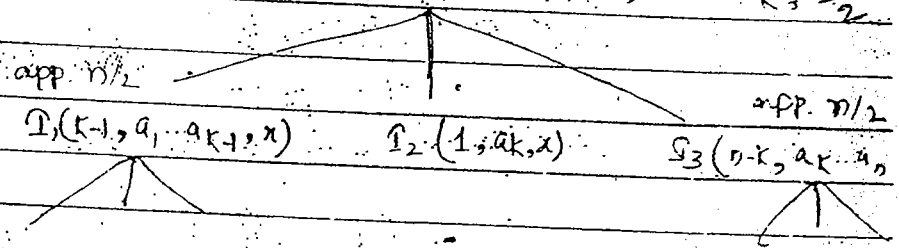
[50, 526]



[53, 561]

#### Recursive Binary Search:

$I(n, a_1, a_2, \dots, a_n, x)$



Binary Search is Not True Divide & Conquer Strategy.  $\log$  we only divide, not combi.

Ques. a) let  $T(n)$  represent The Timing Comp. of DAND BS(n)

$$T(1) = C \quad n=1$$

$$T(n) = a + T(n/2) \quad n>1$$

constant  $\log$  we solve only one arm of tree  
अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

$$n = 2^k, \quad k = \log n$$

$$T(n) = T(n/2) + a \quad \text{--- (1)}$$

$$T(n/2) = T(n/4) + 2a$$

$$= T(n/8) + 3a$$

$$= T(n/2^k) + k \cdot a$$

$$= T(1) + k \cdot a$$

$$T(n) = C + a \cdot \log n$$

$$O(\log n)$$

वही सच्चा साहसी है जो कभी निराश नहीं होता ।

partition (user only write)

C.J. Horne

# 4. DANDC: QuickSort

- partition - Exchange Sort.  
partition / pivoting.

A 65

|   | 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  | 10 |
|---|----|----|----|----|----|----|----|----|----|----|
| A | 65 | 70 | 75 | 80 | 85 | 60 | 55 | 50 | 45 | 20 |
| i |    |    |    |    |    |    |    |    |    |    |
|   |    |    |    |    |    |    |    |    |    | p  |

$i \leftarrow 1$

$p \leftarrow n+1$

$V \leftarrow A[i]$

(65)

loop  
 loop  
 $i = i+1$   
 until  $A[i] < V$   
 loop  
 $p = p-1$   
 until  $A[p] > V$   
 if  $i < p$   
 swap  $A[i]$  &  $A[p]$   
 else  
 break  
 infinite

Timing Complexity

Performance :-

Best Case

Worst Case

1. Partitioning:  $O(n)$

$I(a_1, a_2, \dots, a_n)$

Worst Case Complexity  
 All ready sorted  $I$

Worst Best Case Worst  
 $I$   $I$   $I$

$([n-1] (a_1))$

$([n/2] (a_1) [n/2])$   
 $(() () ())$

$(a_1 [n-1])$

Best Case

2 element get  
 pivoted at the end

at 2nd case 3 elements  
 get pivoted

2 element  
 get piv

works fast

more pivoted elements

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नक्कीत है।

## Timing Complexity in Best Case

$I(a_1, a_2, \dots, a_n)$

$[n/2] \quad [a_1] \quad [n/2]$

Best case :-

$$T(n) = a \quad n=1$$

$$= b \log n + 2T(n/2) \quad n > 1$$

$O(n \log n)$  → Best case complexity

equal to merge sort

Worst case :-

$$T(n) = 1 \quad n=1$$

$$= n + T(n-1) \quad n > 1$$

This is NOT D AND recurrence

Standard D AND form is

$$T(n) = aT(n/b) + f(n)$$

$$b > 1$$

$$T(n) = n + T(n-1)$$

$$T(n-1) = T(n-2) + n-1$$

$$= T(n-2) + n-1 + n$$

$$= T(n-3) + (n-2) + (n-1) + n$$

$$T(n)$$

वही सच्चा सहसी है जो कभी निराश नहीं होता।

2 T[1]

$$1 + 2 + 3 + \dots + (n-1) + n$$

$$n \cdot \frac{(n+1)}{2} = \underline{\underline{O(n^2)}}$$

worst comple.

Imp (\*)

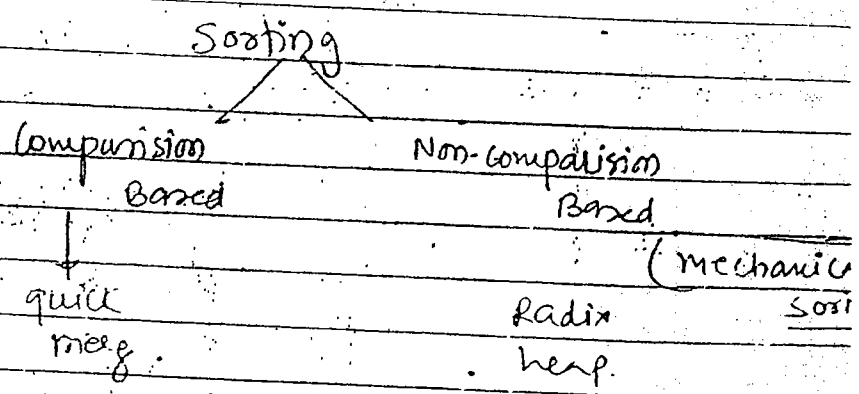
No any Comparison based Sorting has complexity less than          with          elements

$O(\log n)$

$O(n)$

$O(n \log n)$  ✓

$O(n^2)$



(\*)  $n+1$  element is kept & large b'coz

If partition element is highest among 1 to  $n-1$  then there is possibility of infinite loop at first inner loop  $j = i+1$

अभ्रद्धा कायस्थ का निचोड़ है, अद्धा साहस का नवनीत है पन्ना साहिब

# 5 Strassen's Matrix-multiplication

$$A_{n \times n} \cdot B_{n \times n} = C_{n \times n}$$

1)  $A + B = C$

$O(n^2)$  for  $1 \rightarrow n$   
 for  $1 \rightarrow n$   
 $C(i,j) = A(i,j) + B(i,j)$

2)  $A \times B = C$

$O(n^3)$  for  $1 \rightarrow n$   
 for  $1 \rightarrow n$   
 $C(i,j) = 0$   
 for  $1 \rightarrow n$   
 $C(i,j) = C(i,j) + A(i,k) \cdot B(k,j)$

Here  $n=4$

|                  |     |  |  |     |     |              |     |
|------------------|-----|--|--|-----|-----|--------------|-----|
| $n/2 \times n/2$ |     |  |  |     |     | $n \times n$ |     |
| A11              | A12 |  |  | B11 | B12 | C11          | C12 |
| A21              | A22 |  |  | B21 | B22 | C21          | C22 |

$4 \times 4$   
 $n \times n$

$4 \times 4$   
 $n \times n$

~~Level 1~~  $T(n/2)$

$$C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21} \quad \text{--- 6}$$

$$C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22} \quad \text{--- 2}$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21} \quad \text{--- 3}$$

$$C_{22} = A_{21} \cdot B_{12} + A_{22} \cdot B_{22} \quad \text{--- 4}$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

$T(n)$  is DAND. matrix mul  $(n)$

$$T(n) = C$$

$$= 8T(n/2) + bn^2$$

$n = 1$

Time for mul

$$(A \cdot B)$$

8 mult

Time for Addn

$$(A \cdot B)$$

4 Addition

for each

addition  $O(n^2)$  comp

from eq (1)

$$n = 2^k$$

$$k = \log_2 n$$

$$T(n) = 8T(n/2) + bn^2$$

(1)

$$T(n/2) = 8T(n/4) + \frac{bn^2}{4}$$

$$= 8 \left[ 8T(n/4) + \frac{bn^2}{4} \right] + bn^2$$

$$= 64T(n/4) + 3bn^2$$

(2)

$$= 8^2 T(n/2^2) + (2^2 - 1) \cdot \frac{bn^2}{4}$$

$$= 8^k T(n/2^k) + (2^k - 1) \cdot \frac{bn^2}{4}$$

$$= 8^k T(1) + (2^k - 1) \cdot \frac{bn^2}{4}$$

$$8^{\log_2 n} \cdot C$$

$$O(n^3)$$

$$n^{\log_2 8}$$

$$n^3 + (n-1)bn^2 = n^3 + bn^3 - bn^2$$

अश्रद्धा कार्यरता का निषाद है. श्रद्धा साहस का नवनीत है।

\* Strassen reduced No. of multiplications from 8 to 7

$$\therefore T(n) = 7T(n/2) + bn^2 \quad \text{--- (1)}$$

$$T(n/2) = 7T(n/4) + \frac{bn^2}{4}$$

$$T(n) = 7 \left[ 7T(n/4) + \frac{bn^2}{4} \right] + \frac{bn^2}{4}$$

$$T(n) = 49T(n/4) + 7bn^2 + \frac{bn^2}{4}$$

$$T(n) = 7^k T(n/2^k) + bn^2 \sum_{i=0}^{k-1} (7/4)^i$$

$$= 7^k T(n/2^k) + bn^2 \sum_{i=0}^{k-1} (7/4)^i$$

$$T(n) = 7^k \cdot C + bn^2$$

$$\sum_{i=0}^n x^i < \sum_{i=0}^{n+1} x^i$$

$$\text{if } x > 1$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

$$T(n) < C \cdot 7^k + bn^2 \quad (7/4)$$

$$< C \cdot 7^k + bn^2 \cdot 7^k$$

$$T(n) < C \cdot 7^k + b \cdot 7^k$$

$$< (C+b) \cdot 7^k$$

$$< d \cdot 7^k$$

$$T(n) = O(n^{2.81})$$

$$7^k = n^{\log_2 7} = n^{2.81}$$

$\therefore$  Rec matrix multi Vs Strassen Matrix mul

$$O(n^3) \Leftrightarrow O(n^{2.81})$$

$$\begin{aligned} Q. \quad T(n) &= k, \quad n=1 \\ &= 3T(n/2) + k \cdot n, \quad n>1 \end{aligned} \quad \left\{ \begin{array}{l} \text{Solve recurrence} \\ \text{relation} \end{array} \right.$$

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

पृष्ठ नं. 38  
दि. 8/9/10

## Master Method for solving Divide and Conquer Recurrences

$$\Rightarrow T(n) = c \quad \text{if } n \leq d$$
$$= a \cdot T(n/b) + f(n) \quad \text{if } n > d$$

$$a > 0, b > 1, c > 0, f(n) \text{ is +ve, } d > 0$$

Case-I Let  $f(n)$  and  $T(n)$  is defined as above

if  $f(n)$  is  $O(n^{\log_b a - \epsilon})$   
for some  $\epsilon > 0$ , then  
 $\boxed{\epsilon > 0}$   $T(n)$  is  $O(n^{\log_b a})$

Case II: let  $f(n)$  is

$$O(n^{\log_b a} \cdot \log^k n)$$

Then

$$T(n) \text{ is } O(n^{\log_b a} \cdot \log^{k+1} n)$$

Case III

if  $f(n)$  is

$$\Omega(n^{\log_b a + \epsilon}) \text{ and}$$

$\epsilon > 0$

$$\text{and } f(n/b) \leq \delta \cdot f(n) \text{ Then}$$

$$T(n) \text{ is } O(f(n))$$

$$\boxed{\delta < 1}$$

... वही सच्चा साहसी है जो कभी निराश नहीं होता।

given Ex

1.  $T(n) = 4T(n/2) + n$
2.  $T(n) = 2T(n/2) + n \log n$
3.  $T(n) = T(n/3) + n$

①  $T(n) = 4T(n/2) + n$

above

$$a = 4$$

$$b = 2$$

$$f(n) = n$$

check  $n \log_b a = n \log_2 4 = n^2$

i)  $n$  is  $\Theta(n^{t-1})$  ✓  $t > 0$

$n$  is  $\Theta(n)$  ✓  $t = 1$

Case I applies and

$$T(n) \text{ is } \Theta(n^2)$$

②  $T(n) = 2T(n/2) + n \log n$

$$a = 2$$

$$b = 2$$

$$f(n) = n \log n$$

$$n \log_2 2 = n$$

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

i)  $n \log n$  is it  $O(n^{1-\epsilon})$  ✗

ii)  $n \log n$  is it  $\Theta(n^p \cdot \log^k n)$  ✓

$\epsilon = 0.1$

$\therefore T(n)$  is  $O(n \cdot \log^2 n)$  ✓

3.

4)  $T(n) = 9T(n/3) + n^{2.5}$

$a = 9$

$b = 3$

$f(n) = n^{2.5}$

$n^{\log_b a}, n^2$

Case 0.

$n^{2.5}$  is it  $O(n^{2-\epsilon})$  ✗

$\epsilon > 0$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

Case II  $\rightarrow n^{2.5}$  is it  $O(n^2 \cdot \log^k n)$

$$\sqrt{n} \neq \log^k n$$

Case III

$$n^{2.5} \text{ is it } O(n^{2+t}) \quad \checkmark \quad t=2$$

$$a) a \cdot f(n/b) \leq \delta f(n)$$

$$\frac{a \cdot n^{2.5}}{\delta \sqrt{3}} \leq \delta n^{2.5}$$

$$\delta = \frac{1}{\sqrt{3}} < 1$$

$$T(n) \text{ is } O(n^{2.5})$$

$$(5) \quad T(n) = 2T(\sqrt{n}) + \log n$$

$$b=1$$

$$>1$$

$$\text{let } n = 2^k$$

$$T(2^k) = 2T(2^{k/2}) + k$$

$$\text{let } T(2^k) = S(k)$$

$$T(2^{k/2}) = S(k/2)$$

अभ्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

... eq' becomes

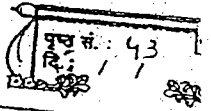
$$S(K) = 2 \cdot S(K/2) + K$$

[ Core 2 applied &

it is  $O(K \cdot \log K)$

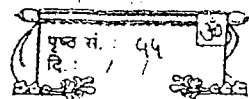
$$= O(\log n \cdot \log(\log n))$$

वही सूच्या साहसी है जो कभी निराश नहीं होता।



अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का जन्मदाता है।

# Greedy Methods



Step by step

- 1 prob. def
  - 2 Constraints (conditions)
  - 3 Solution space (Any possible arrangement)
  - 4 feasible sol<sup>n</sup> (correct solution)
  - 5 objective function  $\rightarrow$  max/min
  - 6 optimal solution: criteria
- } only one (unique)

N-queens problem:

- No objective function

- so we find only feasible solutions

There is no meaning for optimal solution.

② General Approach of Greedy method:

procedure GreedyM(A, n)

Solution  $\leftarrow \emptyset$

for  $i \leftarrow 1$  to  $n$

$x \leftarrow \text{select}(A, n)$

if (feasible(solution, x))

    Add(x, solution)

}

}

वही सच्चा साहसी है जो कभी निराश नहीं होता।

max. complexity of Greedy method is

$$O(n)$$

if

|                    |                |
|--------------------|----------------|
| ① select(A, n)     | } are of order |
| ② feasible(sol, x) |                |
| ③ Add(x, sol)      |                |

$O(1)$

line)

Constants

if

|          |            |
|----------|------------|
| ① $O(n)$ | } $O(n^2)$ |
| ② $O(n)$ |            |
| ③ $O(1)$ |            |

Don't  
solution

if

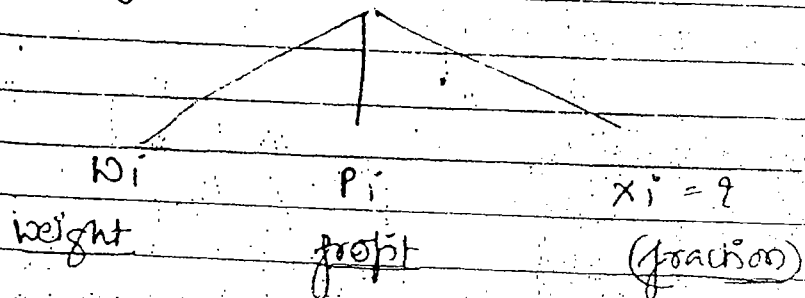
|                |                       |
|----------------|-----------------------|
| 1) $O(1)$      | } $O(n \cdot \log n)$ |
| 2) $O(1)$      |                       |
| 3) $O(\log n)$ |                       |

1

## ② Knapsack problem

- Real knapsack
- Fractional knapsack
- Continuous knapsack

Knapsack - capacity  $M$   
 $n$  objects ( $O_i$ )



maximise the profit subject to condition  
That the total weight in the  
Knapsack do not exceed its Capacity.

if 
$$\sum_{i=1}^n W_i < M$$

problem need not to be solved

total weight  $\sum_{i=1}^n W_i x_i \leq M$  ✓

वही सच्चा साहसी है जो कभी निराश नहीं होता।

∴ constrain  
given

$$\sum_{i=1}^n w_i > M$$



maximise  $\sum_{i=1}^n p_i x_i$

s.t.c  $\sum_{i=1}^n w_i x_i \leq M$

$x_i$  can be any value  
 $0 \leq x_i \leq 1$

ibon

∴ Solution space for Real Knapsack  
— Infinite

∴ Solution space for 0/1 Knapsack  
—  $2^n$

olved.

Ex

$$n=3$$

$$(p_1, p_2, p_3) = (25, 24, 15)$$

$$M=0$$

$$(w_1, w_2, w_3) = (18, 15, 10)$$

①

Greedy method profit consideration.

$$x_1 = 1$$

$$x_2 = 2/15$$

$$\sum p_i x_i = 28.2$$

अश्रद्धा कायस्थी का निचोड़ है, अश्रद्धा साहस का नवनीत है।

## 2 Greedy method about weight

$$x_3 = 1$$

$$x_2 = 10/15 = 2/3$$

$$x_1 = 0$$

$$\sum p_i x_i = 31$$

## 3 Greedy method about $P/w$

divide all object into equal criteria.

$$w_i \longrightarrow p_i$$

$$p_i$$

$$w_i$$

$$P_1 = \frac{25}{18} = 1.4$$

$$P_2 = \frac{24}{15} = 1.6 \quad \checkmark$$

$$P_3 = \frac{15}{10} = 1.5$$

arrange into decreasing order of  $\frac{p_i}{w_i}$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

Then  $\frac{P_2}{D_2}, \frac{P_3}{D_3}, \frac{P_1}{D_1}$

✓

$x_1 = 1 \quad \frac{5}{10} = \frac{1}{2} \quad 0$

$\therefore \sum P_i x_i = 24 + \frac{1}{2} \cdot (15)$

$24 + 7.5$

max. profit is 31.5

it is optimal solution.

### ⑧ Job-sequencing with deadline (JSD)

single cpu with N.p scheduling  
(Non-preemptive)

n jobs ( $J_i$ )

AT =  $d_i$ , BT =  $t_i$ , deadline profit  
( $d_i$ ) ( $p_i$ )

"Select a subset of n jobs, such that jobs in the subset can be completed within deadline, maximising the profit"

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

Size of Solution Space  $2^n$

We have to select subset of job from  $n$  jobs

possible subset =  $2^n$

Ex.  $n=4$  jobs

$J_1$  to  $J_4$

$(d_1 - d_4) : (2, 1, 2, 1)$

$(P_1 - P_4) : (100, 10, 15, 27)$

Solution :  $J$

No. of jobs  
in subset

$J = \emptyset$  ✓ possible

$J = 1$  ✓

$J = 2$  ✓

$J = 3$  ✗

No. of jobs possible = (Max. deadline in the given set)

⇒ arrange jobs in decreasing order of profit  
 $J_4 \ J_3 \ J_2 \ J_1$   
(100, 27, 15, 10)

$J_4 \ J_1$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

\* place the job in its maximum deadline boundary.

④ No. of jobs possibly completed within the deadline =  $\begin{cases} \text{max} \\ \text{No.} \end{cases}$  (among dead line give

Ex:  $n=7$

$$d_1 - d_7 = \begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 \\ 6, 5, 4, 3, 4, 5, 6 \end{matrix}$$

$$p_1 - p_7 = \{ 18, 16, 10, 9, 19, 23, 15 \}$$

|            |   |   |   |
|------------|---|---|---|
| $J_6 = 23$ | - | 5 | ✓ |
| $J_5 = 19$ | - | 4 | ✓ |
| $J_1 = 18$ | - | 6 | ✓ |
| $J_2 = 16$ | - | 5 | ✓ |
| $J_7 = 15$ | - | 6 | ✓ |
| $J_3 = 10$ | - | 4 | ✓ |
| $J_4 = 9$  | - | 3 | x |

|       |       |       |       |       |       |   |                |
|-------|-------|-------|-------|-------|-------|---|----------------|
|       | 1     | 2     | 3     | 4     | 5     | 6 | Total profit = |
| $J_3$ | $J_7$ | $J_2$ | $J_5$ | $J_6$ | $J_1$ |   |                |
| 0     | 1     | 2     | 3     | 4     | 5     | 6 |                |

\* place the job in its highest possible deadline

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

### \*3. Minimum Cost Spanning Tree.

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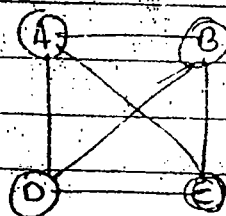
Graph =  $G = (V, E)$

$|V| = n$

n vertices

$|E| = e$

e edges



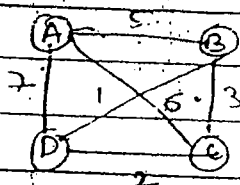
$|V| = n = 4$

$|E| = e = 6$

Spanning Tree:

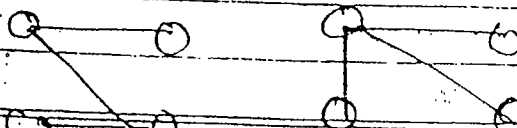
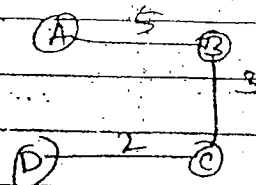
A subgraph  $T(V, E')$  of graph  $G(V, E)$ , where  $E' \subseteq E$  is a spanning tree if and only if  $T$  is a tree.

⇒ Cost of Spanning Tree:



Given Graph

Spanning Trees.



वही सच्चा सहिशी है जो कभी निराश नहीं होता।

Gale

minimum how many edges must be removed from Graph to form a Spanning Tree

$n$  - no. of vertices

$e$  - no. of edges

for  $n$  vertices to be connected  
( $n-1$ ) edges are compulsory

$e - (n-1)$  can be removed.

aph

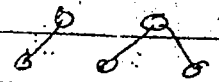
prims Algo.

krushals algo.

Always maintain connected properties

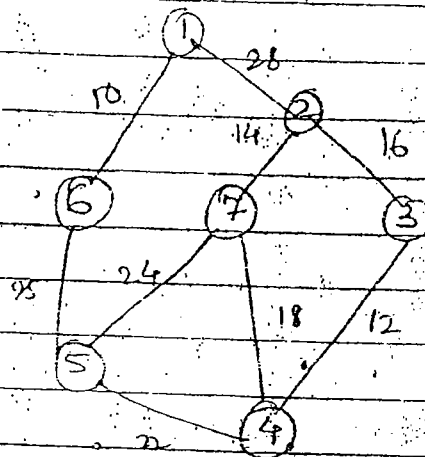
(Tree structure)

may construct a sub Tree from set of disjoint Tree (forest)



# # Min. Cost spanning Trees

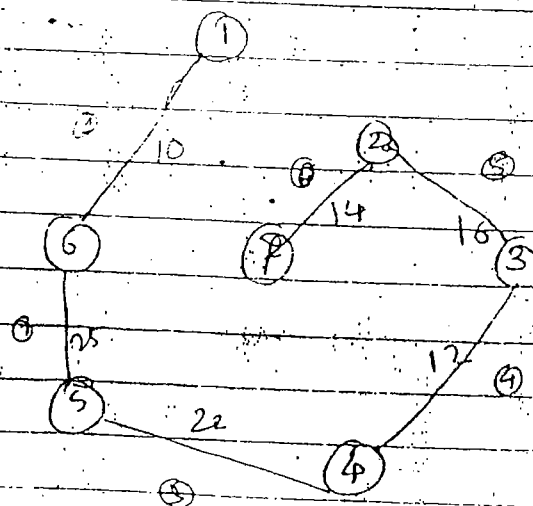
पृष्ठ नं. 54  
दि 15/9/19



Prims method:  $O(n^2)$

1. Start from min. cost value
2. Connect the nodes which are already part of connection only
3. No cycle

Always form  
Tree  
Connected



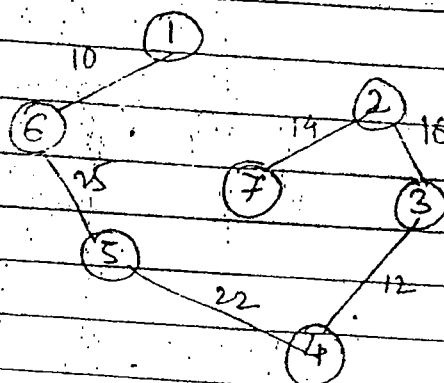
वही सच्चा साहसी है जो कभी निराश नहीं होता।

Kruskal's

 $O(e \log e)$ 

min-heap

all edges

10, 12, 14, 16, 18, 22, 24, 25, 28  
x x

forms disjoint trees called forest.

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

# optimal merge pattern

Merging of files

2 way merging

Ques No. of Record movements :-

$f_1(4, 8, 12 \dots)$  ,  $f_2(5, 9, 1 \dots)$   
 $f(4, 5, 8, 9 \dots)$

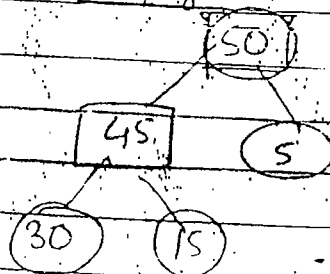
$f_1 = m$  elements

$f_2 = n$  elements

To get  $f$  Total Record movement = min

eg.  $x = 30$   
 $y = 15$   
3 files  $z = 5$

pattern  $x, y, z$



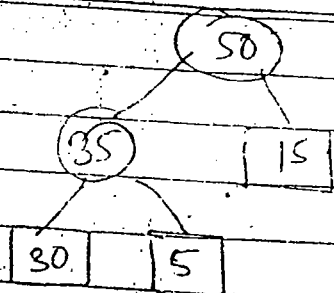
sum of internal

45  
50  
95

$\therefore$  Total Rec. mov. = 95

सही सच्चा साहसी है जो कभी निराश नहीं होता।

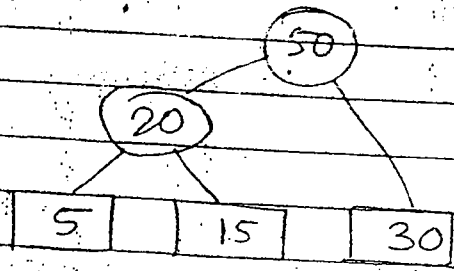
(X, Z, Y)



Tot. RC. r  
85  
=

②

(Z, Y, X)



70

= m.w

④

Solution space

if n places there  $n!$  Sol. space

Algorithm Tree (X)

if

for  $i \leftarrow 1$  to  $n-1$

{

PT = new(Terminal);

PT  $\rightarrow$  LChild = LEAST (list);

PT  $\rightarrow$  RChild = LEAST (list);

PT  $\rightarrow$  Wt = PT  $\rightarrow$  LChild  $\rightarrow$  wt

PT  $\rightarrow$  RChild  $\rightarrow$  wt

अश्रद्धा कायरता का निचोड़ है, अश्रद्धा साहस का नवनीत है।

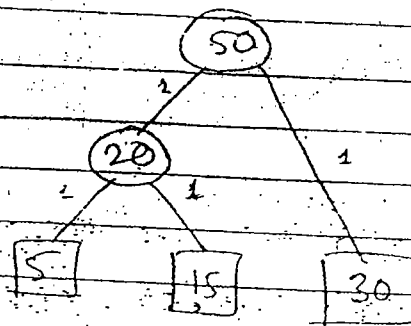
INSPRT (list, PT);

}  
}

$d_i$  = dist from root to  $f_i$   
 $q_i$  = size of  $f_i$

Weighted extended path  
length (B.T)

$$= \sum_{i=1}^n d_i q_i$$



$$= 1 \times 30 + 2 \times 5 + 2 \times 15$$

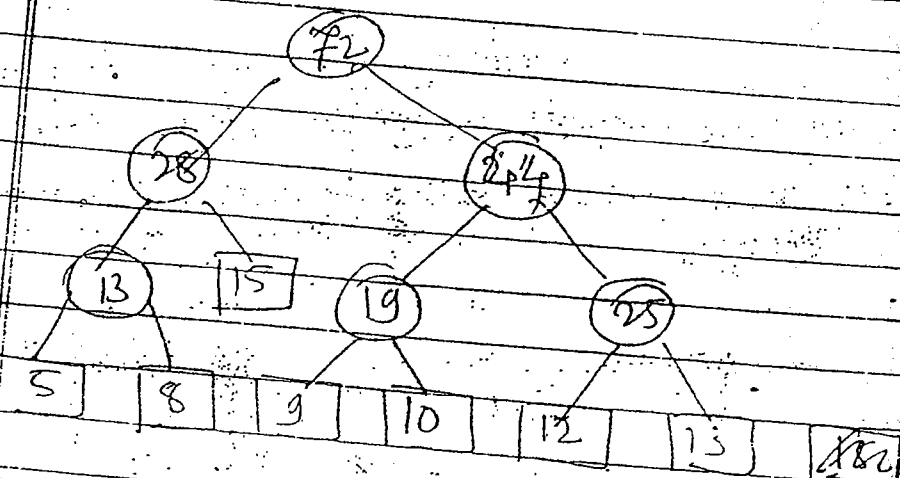
$$= 30 + 10 + 30$$

$$= 70$$

Q2  
 Gate 2  
 $n=7$   
 $(f_1, f_2) = (5, 8, 15, 9, 13, 12, 10)$

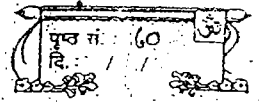
- ① Arrange piles in increasing order.
- ② After 1 merging. Again arrange.

वही सच्चा साहसी है जो कभी निराश नहीं होता।



or every time Take 2 Smallests

# Frequency dependent Coding Huffman Coding

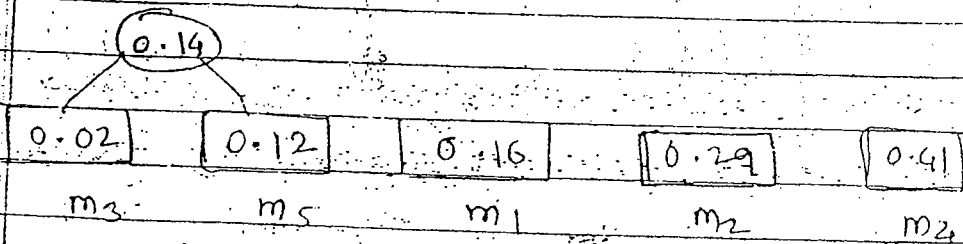


(Data Encoding + Compression)

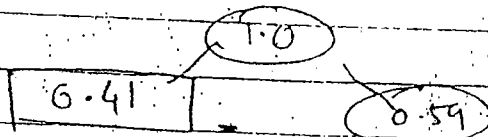
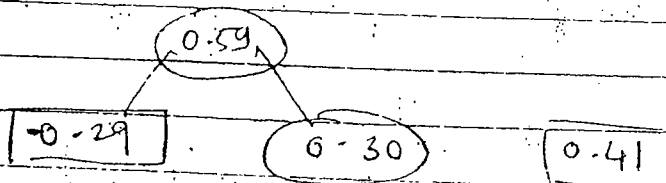
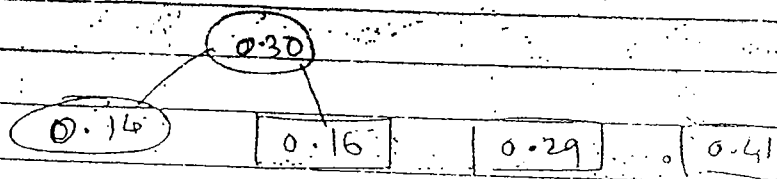
$$L = \sum (m_i \cdot p_i) \quad (0.16, 0.29, 0.02, 0.41, 0.12)$$

Freq. Percent

Normally for 5 msg we need 3 bits  
as  
 $5 < 2^3$



Rearrange

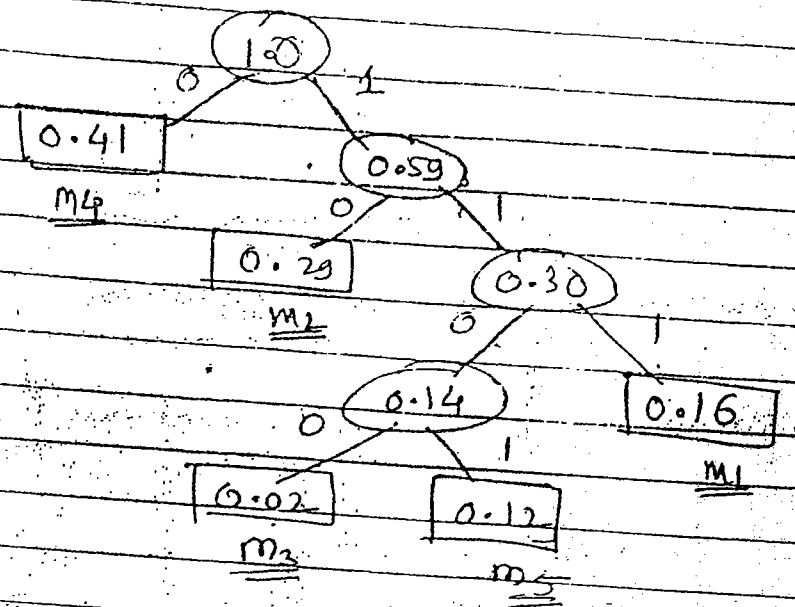


सही सफ़ा सादगी है जो कभी निराश नहीं होता।

# Binning Tree

left 0, Right 1

(0.12)



41

m4

 $m_1 = 111 (3)$ 
 $m_2 = 10 (2)$ 
 $m_3 = 1100 (4)$ 
 $m_4 = 0 (1)$ 
 $m_5 = 1101 (4)$ 

ex

Text

 $m_4 m_2 m_4 m_4 m_2 m_1 m_4 m_2 m_5 m_4$  (36 bits)  
 0 10 0 0 10 11 0 10 1101 0 (18 bits)

Reverse

110101

 Search in Tree till we get  
 leaf Node

अश्वत्थ कायरता का निचोड़ है, अश्वत्थ साहस का नवनीत है।

Arg. bits :

$$\sum_{i=1}^n d_i f_i$$

$d_i$  = dist from root

$f_i$  = freq.

$$= 2 \times 0.16 + 2 \times 0.29 + 4 \times 0.02 + 1 \times 0.41 + 4 \times 0.12$$

0.48

0.58

0.08

0.41

0.48

2.03

$$= 0.48 + 0.58 + 0.08 + 0.41 + 0.48$$

$$= 2.03$$

$$= 2.03/5$$

$$= 0.406$$

Drawback:

1 bit error during transmission causes entire corruption of the d

Shortest paths (S.P) Problems

- ① single pair S.P [① ②] } [single source S.P] [single destination S.P]
- ② single source S.P [①] } [single pair S.P]
- ③ single destination S.P [②] } [single pair S.P]



[+ve edge]  
[-ve edge]

Bellman

④

multi-pairs

वही सच्चा साहसी है जो कभी निराश नहीं होता।

Shortest Path  $\rightarrow$  Floyd's

Warshall

Shortest Path Problems

⑧ Single Source Shortest path

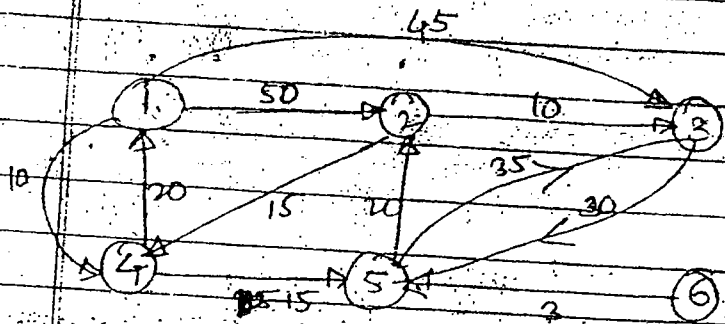
$G(V, E)$

$|V| = 10$

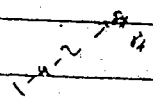
$|E| = 12$

$V_0 = 1$

(given)



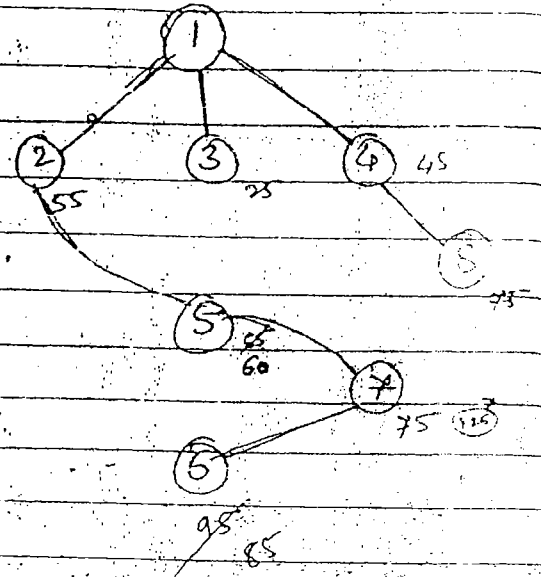
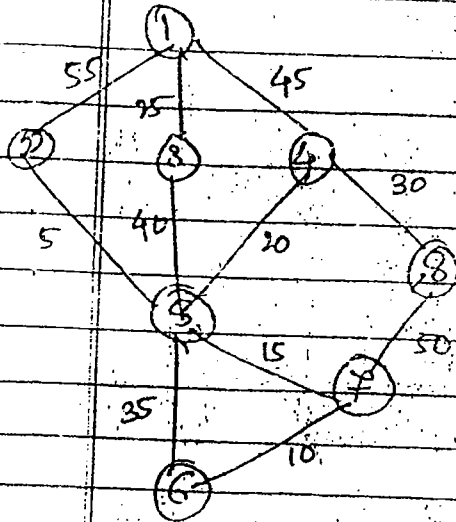
| Vertex select. | 2  | 3  | 4  | 5  | 6  |
|----------------|----|----|----|----|----|
| 1              | 50 | 45 | 10 | ∞  | ∞  |
| 1-4            | 50 | 45 | 10 | 25 | ∞  |
| 1-4-5          | 45 | 45 | 10 | 50 | ∞  |
| 1-4-5-2        | 45 | 45 | 10 | 25 | ∞  |
| 1-4-5-2-3      | 45 | 45 | 10 | 25 | 80 |



अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

# Single source shortest path spanning

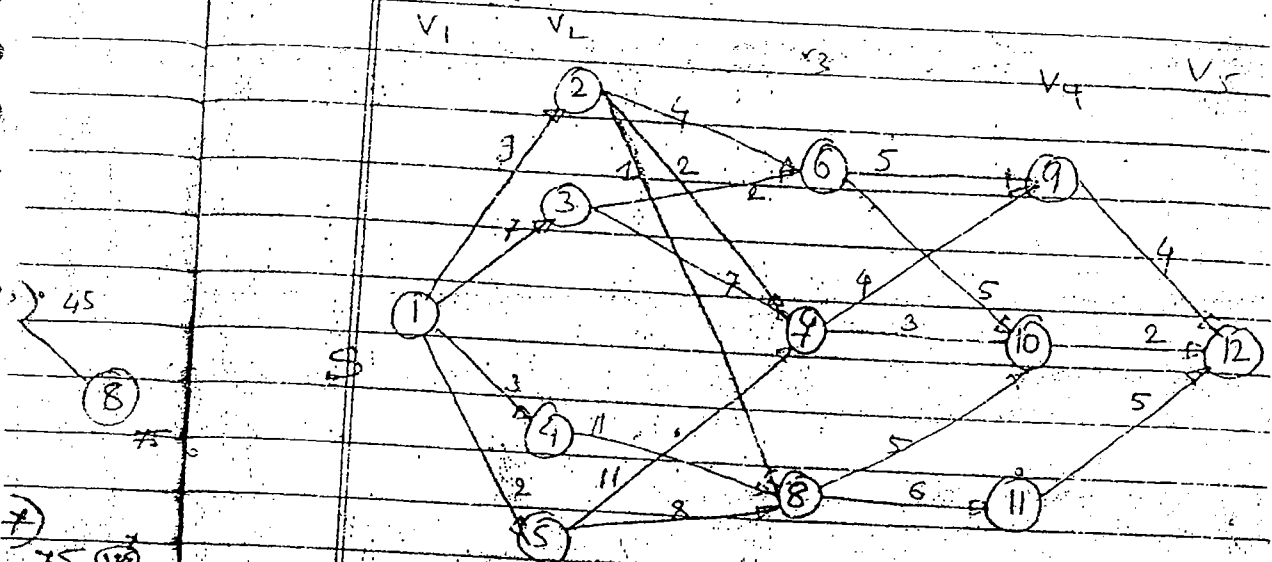
$V_0 = 1$



1. select nodes which are directly connected from source i.e. ① & mark their weight.
2. select which have min value among them.
3. join the selected min. node. According to its min value.
4. update the values of other weights according to this new node.  
i.e. there are 6  
select min. weight node.

वही सच्चा साहसी है जो कभी-निराश नहीं होता।

# Multistage Graph



$$|V| = n$$

$$|E| = e$$

$$G(V, E)$$

$n$  - vertices

$e$  - edges

Greedy method sol<sup>n</sup> (1-5-8-10-12)

Cost: 17

fail.

Greedy method : depending upon current stage and current values decide solution.

⇒ First and last stage have only 1-1 vertex

other  $n-2$  vertices are there

and  $n-2$  vertices have  $k-2$  stages

अभ्रद्धा कायरता का निचोड़ है, अभ्रद्धा साहस का नवनीत है।

\* i/p cost matrix is given

$$\begin{array}{c|c}
 & j \\
 \hline
 i & c_{ij} \text{ edge value}
 \end{array}$$

Greedy method: stepwise decision.

Dynamic prog: sequence of decision, decisions on basis.

"Dynamic programming is followed by a principle of optimality"

① principle of optimality states that :  
 What ever initial state and decision are, the remaining sequence of decisions must constitute an optimal decision sequence with regards (with respect) to the state resulting problem.

$$\text{Let } Cost(i, j) \text{ represents the cost of reaching the destination } t \text{ from vertex } j \text{ in the stage } i$$

वही सच्चा साहसी है जो कभी निराश नहीं होता ।

( $c_{ij}$  is edge value)

$$\text{Cost}(1,1) = \min \left\{ c(1,K) + \text{Cost}(2,K) \right\}$$

First node

Any node

Stage

$K \in V$

$\langle 1, K \rangle \in E$

a

$$c(1,K) + \text{Cost}(2,K)$$

$$c(1,K) \cdot (K \dots t)$$



Recurrence

$$\text{Cost}(i,j) = \min \left[ c(j,K) + \text{Cost}(i+1,K) \right] \quad \text{--- (1)}$$

if there are  $l$  stages in a Graph  
Then

$$\text{Cost}(l-1,j) = \text{Cost}(j,t)$$

\*

let  $O(i,j) = K$  that minimise  $\text{Cost}(i,j)$  --- (1)

अश्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनील है।

From Graph directly we can write

$$\text{Cost}(4,9) = C(9,12) = 4$$

$$\text{Cost}(4,10) = C(10,12) = 2$$

$$\text{Cost}(4,11) = C(11,12) = 5$$

$$\text{Cost}(1,1) = \min \left\{ \begin{array}{l} C(1,2) + \text{Cost}(2,2), C(1,3) + \text{Cost}(2,3) \\ C(1,4) + \text{Cost}(2,4) \end{array} \right\}$$

→ Approaching in Reverse Order

Calculate Cost(3, —)

$$\begin{aligned} \text{Cost}(3,6) &= \min \left\{ \begin{array}{l} C(6,9) + \text{Cost}(4,9) \\ C(6,10) + \text{Cost}(4,10) \end{array} \right\} \\ &= \min \{ (6+4), (5+2) \} \end{aligned}$$

$$\therefore \text{Cost}(3,6) = 7$$

$$\therefore \min(3,6) = 7$$

Which node gave min value

$$\text{Cost}(3,7) = 5$$

$$\min(3,7) = 5$$

$$\text{Cost}(3,8) = 7$$

$$\min(3,8) = 7$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

$$\text{Cost}(2,2) = 7$$

$$D(2,2) = 7$$

$$\text{Cost}(2,3) = 9$$

$$D(2,3) = 6$$

$$\text{Cost}(2,4) = 18$$

$$D(2,4) = 8$$

$$\text{Cost}(2,5) = 15$$

$$D(2,5) = 8$$

$$\text{Cost}(2,3)$$

The value of  $D$  is used to calculate the path.

$$1 - D(3, D(2, D(1,1))) = 12$$

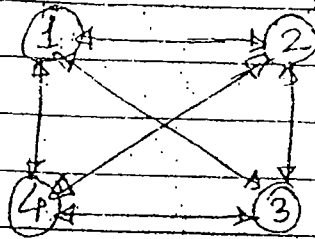
$$D(3, D(2,2))$$

$$D(3,7)$$

$$10$$

path is 1-2-7-10-12

## 2. Traveling salesperson's problem (TSP)



Greedy method

$$1-2-3-4-1 \\ = 39$$

But

$$1-2-4-3-1 \\ = 35$$

|   | C | 1 | 2  | 3  | 4  |
|---|---|---|----|----|----|
| 1 |   | 0 | 10 | 15 | 20 |
| 2 |   | 5 | 0  | 9  | 10 |
| 3 |   | 6 | 13 | 0  | 12 |
| 4 |   | 8 | 8  | 9  | 0  |

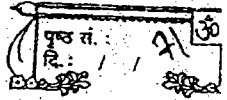
let  $g(i, S)$  represent the cost of the tour. From vertex 'i' visiting all vertices in the set 'S', exactly once and terminating at  $v_0$ .

$$g(1, V - \{1\}) = \min (c(1, k) + g(k, V - \{1, k\}))$$

$$k \in V - \{1\} \\ (1, k) \in E$$

$$\underline{1-k} \quad \underline{V - \{1, k\}} \quad 1$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।



general Formula:

$$g(i, s) = \min \{ c(i, k) + g(k, s - [k]) \}$$

$$k \in s$$

$$\langle i, k \rangle \in E$$

$$g(i, \emptyset) = c(i, v_0) \quad \text{--- (2)}$$

$$\langle i, v_0 \rangle \in E$$

$$i \neq v_0$$

$$J(i, s) = k \text{ That minimized eq } \text{--- (1)}$$

$\Rightarrow$

the

and

$$g(1, [2, 3, 4]) = \min \left( \begin{array}{l} c(1, 2) + g(2, [3, 4]) \\ c(1, 3) + g(3, [2, 4]) \\ c(1, 4) + g(4, [2, 3]) \end{array} \right)$$

$|s|=2$

$[1, k]$

let us consider  $|s| = \emptyset$

|                   |                                |     |
|-------------------|--------------------------------|-----|
| $g(2, \emptyset)$ | $\text{cost}(2 \rightarrow 1)$ | $5$ |
| $g(3, \emptyset)$ | $3 \rightarrow 1$              | $6$ |
| $g(4, \emptyset)$ | $4 \rightarrow 1$              | $8$ |

$$|S| = 1$$

$$g(2, [3]) = C_{23} + g(3, \emptyset) = 9 + 6 = 15$$

$$g(2, [4]) = C_{24} + g(4, \emptyset) = 10 + 8 = 18$$

$$g(3, [2]) = C_{32} + g(2, \emptyset) = 13 + 5 = 18$$

$$g(3, [4]) = C_{34} + g(4, \emptyset) = 16 + 8 = 20$$

$$g(4, [2]) = C_{42} + g(2, \emptyset) = 14 + 5 = 19$$

$$g(4, [3]) = C_{43} + g(3, \emptyset) = 15 + 6 = 21$$

$$|S| = 2$$

$$g(2, [3, 4]) = \min \{ C_{23} + g(3, [4]), C_{24} + g(4, [3]) \}$$

$$g(2, [3, 4]) = 4$$

$$g(3, [2, 4])$$

$$J(3, [2, 4])$$

$$g(4, [2, 3])$$

$$J(4, [2, 3])$$

path:

$$J(1, [2, 3, 4]) = 1$$

$$J(2, [3, 4]) = 2$$

$$J(4, [3]) = 3$$

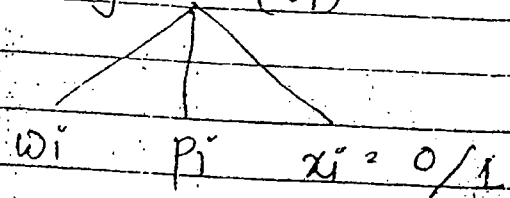
$$1$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

### 3. 0/1 Knapsack problem.

- Knapsack 'm'

n-objects  $(o_i)$



let  $F_n(m)$  represents the profit obtain with n objects, on the knapsack with capacity m

$$F_n(m) = \max \left\{ \begin{array}{l} P_n + F_{n-1}(m - w_n), \\ 0 + F_{n-1}(m) \end{array} \right\}$$

$x_n = 1$  if included  
 $x_n = 0$  if Not included

Start from empty knapsack.

$$F_0(X) = \emptyset$$

अभ्रद्धा कायरता का निचोड़ है, श्रद्धा साहस का नवनीत है।

prob.  $n = 3$   $(p_1, p_2, p_3) = (1, 2, 5)$

$(w_1, w_2, w_3) = (2, 3, 4)$

$M = 6$

$S^n \Rightarrow S^n \Rightarrow S^{n-1} \Rightarrow S^{n-2} \Rightarrow \dots \Rightarrow S^0$

$S^3 \Rightarrow S^2 \Rightarrow S^1 \Rightarrow S^0$

$S^0 = [(0, 0)]$  initial value of knapsack  
profit weight

after this  
much attempts

$S^0 [(0, 0)] \xrightarrow{\text{merge}} S^1 [(0, 0), (1, 2)]$

$S_1 = [(1, 2)]$

after  
adding  
P, W  
to

consider first  
 $(p_1, w_1)$

$S^1 [(0, 0), (1, 2)] \xrightarrow{\quad} S^2 [(0, 0), (1, 2), (3, 5)]$

$S_1^2 [(2, 3), (3, 5)]$

$S^2 [(0, 0), (1, 2), (2, 3), (3, 5)] \xrightarrow{\quad} \emptyset$

after  
adding  
P, W  
to

$S_1^3 [(5, 4), (6, 6), X, X]$

order is important

weight exceeded

वही सच्चा साहसी है जो कभी निराश नहीं होता।

(\*) Purging Rule: Removal Rule

$$\begin{array}{cc} (3,5) & (5,4) \\ p_i, w_i & p_j, w_j \end{array}$$

If  $(p_i < p_j) \&\& (w_i > w_j)$  Then  
The tuple  $p_i, w_i$  may be  
purged.

$$\therefore S^1 = [(0,0), (1,2), (2,3), (3,5)] \rightarrow S^3 = [(0,0), (1,2), (2,3), (5,4), (6,6)]$$

2)

$$\dots \text{max id} \quad (6,6)$$

$$p = 6$$

$$w = 6$$

$$x_1 = 1 \quad \text{or } 0$$

$$x_2 = 1 \quad \text{Include or Not}$$

$$x_3 = 1$$

To find the value of  $x_i = 1 \text{ or } 0$

$$(6,6) \in S^3$$

$$- p_{6,6} \notin S^3$$

$$(1,2) \in S^2$$

$$\in S^1$$

$$(1,2) \in S^1$$

$$\notin S^0$$

$$\therefore x_3 = 1$$

$$\therefore x_2 = 0$$

$$\therefore x_1 = 1$$

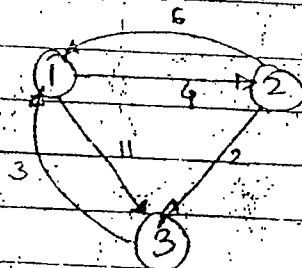
Rule:

$$\text{if } (p, w) \in S^i \setminus S^{i-1} \dots x_i = 1$$

$$\text{if } (p, w) \in S^{i-1} \dots x_i = 0$$

dynamic programming

All-pairs Shortest path :-



| c | 1 | 2 | 3 |
|---|---|---|---|
| 1 | ∅ | 4 | 3 |
| 2 | 6 | ∅ | 2 |
| 3 | 3 | 2 | ∅ |

This prob can be solved by Gm as well as dynamic programming.

⇒ let  $A^k(i, j)$  represent the cost of the path between vertices  $i$  &  $j$  not going through intermediate vertices of index greater than  $k$ .

सही सूचना सादसी है जो कभी निराश नहीं होता।

1 ... n

nodes are there

$$(i \rightarrow k-1 \rightarrow k \rightarrow k+1 \rightarrow j)$$
if the path passes through  $k$  then

$$A^k(i, j) = \min \left\{ A^{k-1}(i, k) + A^{k+1}(k, j), \right.$$

$$\left. A^{k-1}(i, j) \right\}$$

if path does not pass through  $k$ 

$$A^0(i, j) = c(i, j)$$

This is directly an edge

$$A^3(i, j) \Rightarrow A^2(i, j) \Rightarrow A^1(i, j) \Rightarrow A^0(i, j)$$

all in terms of

path

| $A^0$ | 1 | 2 | 3  |
|-------|---|---|----|
| 1     | 0 | 4 | 11 |
| 2     | 6 | 0 | 2  |
| 3     | 3 | 6 | 0  |

| $A^1$ | 1 | 2 | 3  |
|-------|---|---|----|
| 1     | 0 | 4 | 11 |
| 2     | 6 | 0 | 2  |
| 3     | 3 | 7 | 0  |

$i=1, j=2, k=1$

$$A^1(1,2) = \{ \underbrace{A^0(1,1)}_{0+4}, \underbrace{A^0(1,2)}_{4}, \underbrace{A^0(1,3)}_{11} \}$$

$$A^1(2,3) = \{ \underbrace{2-1-3}_{6+11}, \underbrace{2-3}_2 \}$$

$$A^1(3-2) = \{ \underbrace{3-1-2}_{(7)}, \underbrace{3-2}_{\infty} \}$$

| $A^2$ | 1 | 2 | 3 |
|-------|---|---|---|
| 1     | 0 | 4 | 6 |
| 2     | 6 | 0 | 2 |
| 3     | 3 | 7 | 0 |

$$A^2(1,3) = \{ \underbrace{1-2-3}_{(6)}, \underbrace{1-3}_{11} \}$$

वही सच्चा साहसी है जो कभी निराश नहीं होता।

| $A^3$ | 1 | 2 | 3 |
|-------|---|---|---|
| 1     | 0 | 4 | 6 |
| 2     | 5 | 0 | 2 |
| 3     | 3 | 7 | 0 |

2) }

$$A(2-1) = \min \{ 2-3-1, 2-1 \}$$

(5) 9 6

Gate Q

1. for  $i \leftarrow 1$  to  $n$

for  $j \leftarrow 1$  to  $n$

$$A(i,j) = C(i,j)$$

$$A(i,j) = C(i,j)$$

2. for  $k \leftarrow 1$  to  $n$

for  $i \leftarrow 1$  to  $n$

for  $j \leftarrow 1$  to  $n$

this is not  
Recursion

$$A(i,j) = \min \{ A(i,k) + A(k,j), A(i,j) \}$$

$A(i,k)$  is direct value.

$$A(i,j) = \{ \dots \}$$

Time Complexity

$$O(n^3)$$

Space

$O(2n^2)$  (Two matrices)

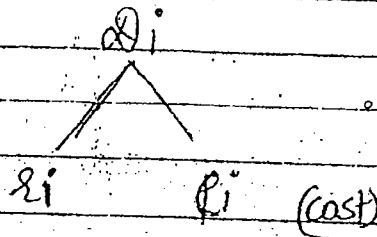
$$O(n^2 + n^2) = O(n^2)$$

अश्रद्धा कायरता का निचोड़ है अश्रद्धा साहस का नवगीत है

\*\*

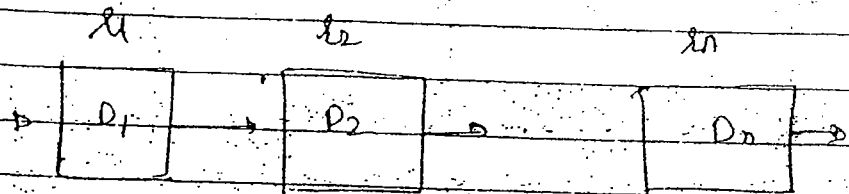
## 5. Reliability Design

(multiplicative optimization problem)



$$0 \leq r_i \leq 1$$

$r_i$ : probability of reliability of device



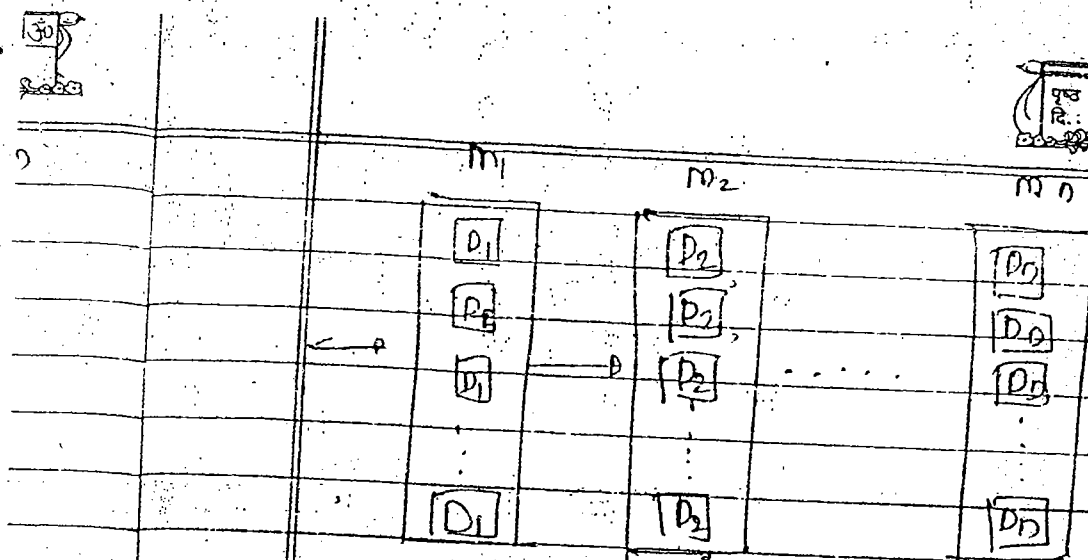
Reliability of n stage system

$$= \prod_{i=1}^n r_i$$

if 1 device fails then comp. system fails = multiplication of all  $r_i$

To increase reliability we duplicate the devices.

वही सच्चा साहसी है जो कभी निराश नहीं होता।



$m_i$  = No of devices in stage  $i$   
 $i \leq m_i \leq u_i$   
 upper bound

④ let  $\phi_i(m_i)$  denotes the reliability of stage  $(i)$  having  $m_i$  copies of devices  $D_i$

$$\phi_i(m_i) = 1 - (1 - r_i)^{m_i}$$

$(1 - r_i)$  : failure of 1 device

$(1 - r_i)^{m_i}$  : failure of  $m_i$  devices  
 No. of

$1 - (1 - r_i)^{m_i}$  : reliability of  $m_i$  no. of devices

## Reliability of n stage system

= product of all stages

$$= \prod_{i=1}^n \phi_m(i)$$

Subjected to condition  $\sum_{i=1}^n C_i m_i \leq C$

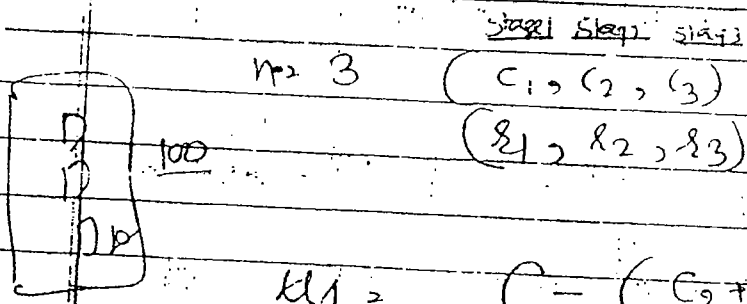
fixed cost

Imp  $\Rightarrow$  n-stage system  $(D_1, \dots, D_n)$

Reliability of  $(D_i) \rightarrow R_i$

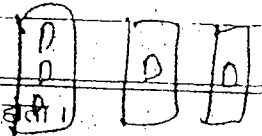
$\text{cost}(D_i) = C_i$

total cost = C



upper bound

in Stage 1



वही सच्चा साहसी है जो कभी निराश नहीं होती।

$$u_2 = \left[ \frac{c - (C_1 + C_3)}{C_2} \right]$$

$$u_3 = \left[ \frac{c - (C_1 + C_2)}{C_3} \right]$$

$$u_i = \left[ \frac{c - \sum_{j=1}^m C_j + C_i}{C_i} \right]$$

$$1 \leq m_i \leq u_i$$

⇒ let  $F_n(c)$  represent the Reliability of  $n$ -stage system with a total cost of  $c$

$$F_n(c) = \max \{ \phi_n(m_n) \cdot F_{n-1}(c - C_n \cdot m_n) \}$$

$$1 \leq m_n \leq u_n$$

$$F_0(x) = 1$$

$$F_3 = F_2 \cdot F_1 \cdot F_0$$

अश्रद्धा कार्यरता का निचोड़ है, श्रद्धा साहस की नक्की है।

Ex

n=3

$$(r_1 - r_3) \{ 0.9, 0.8, 0.5 \}$$

$$(c_1 - c_3) \{ 30, 15, 20 \}$$

$$C = 105$$

$$u_1 = \left\lfloor \frac{105 - 35}{30} \right\rfloor = 2$$

$$u_2 = \left\lfloor \frac{105 - 50}{15} \right\rfloor = 3$$

$$u_3 = \left\lfloor \frac{105 - 45}{20} \right\rfloor = 3$$

Let  $S = \{(R, C)\}$  be tuple

$$P \Rightarrow S^0$$

$$S^0 \Rightarrow S^1 \Rightarrow S^2 \dots \Rightarrow S^n$$

$$S^0 = \{(1, 0)\} \text{ initially}$$

1 select cost

Stage 1  $S_1 = \{(0.9, 30)\}$

No. of items = 1

Next  $S_2 = \{(0.99, 60)\}$

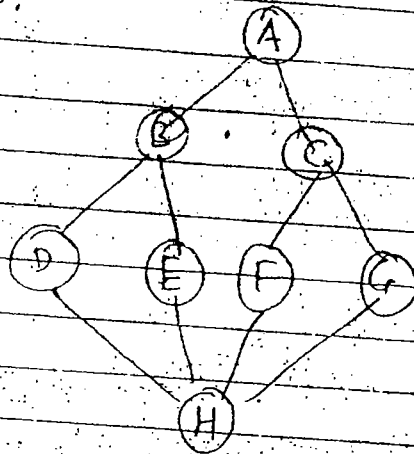
वही सच्चा साहसी है जो कभी निराश नहीं होता।

# Graph Techniques

## Traversal

BFS

DFS



### Status of a Node

Live Node

E-node

Dead Node

&gt; 1

1

&gt; 0

Node that get  
exploredCurrently  
being explored  
& ExpandingNot to be  
exploredAll child  
are explored

assumed:

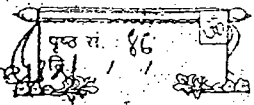
सत्युक्तों के सत्यता-मानिध्या से अभिमान दूर हो जाता है।

Tree have unique traversal

1. prepx only

But Graph have multiple traversal

DFS



BFS

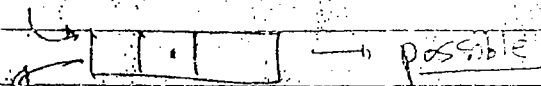
Queue (

DFS

Stack (

Queue : By default FIFO

But it can work as FILO



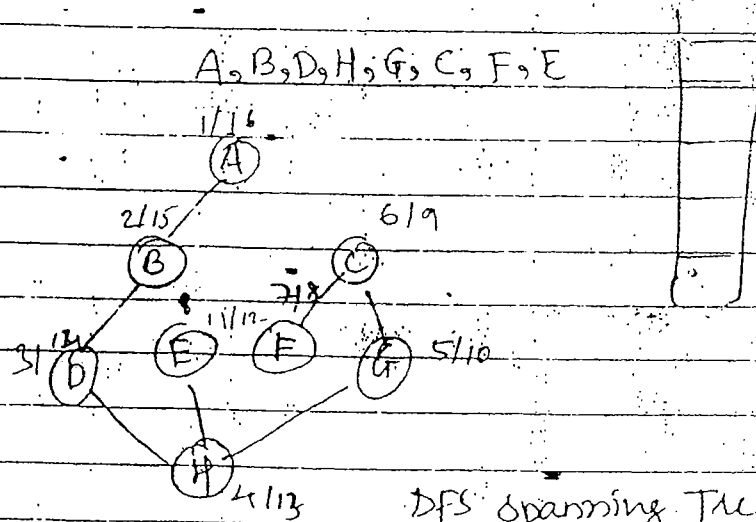
Double ended queue

DO

① D.F.S

traversal can start with Any node

uses Stack :



DFS spanning Tree

The above diagram is DFS spanning Tree

प्रतीकों को महत्व देने से वे बढ़ती हैं।

② Back Tracking

③ connected components of graph

(undirected)

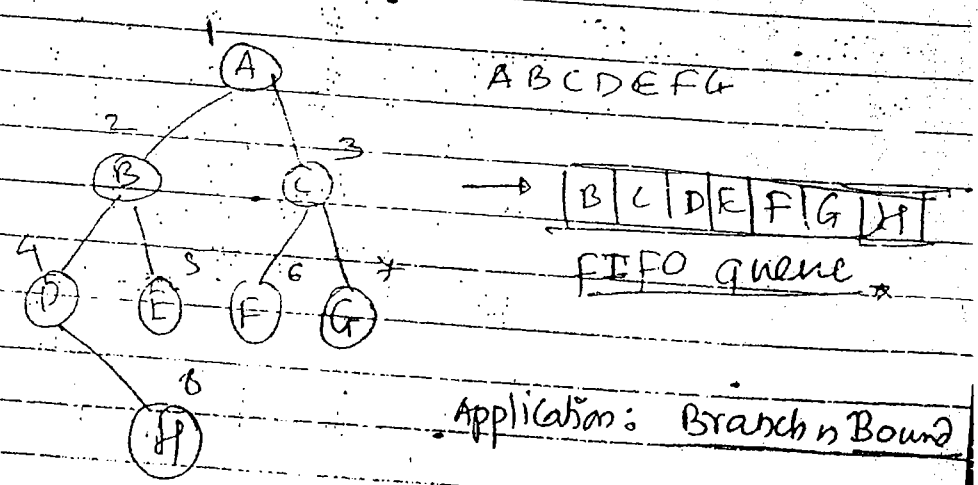
④ strongly connected components of graph

Gate Which of following are valid & which are Not <sup>valid</sup> DFS trees:

- a) A, B, D, H, E, F, G, G ✓
- b) A, B, E, H, D, F, C, G ✓
- c) A, B, D, E, F, G, C, H ✗
- d) H, D, B, A, C, G, F, E ✓
- e) H, E, B, D, C, A, F, G ✗

Application of DFS is Backtracking  
AUC points, cycles,

2) B.F.S : it is call D-search  
if queue of LIFO is used  
uses queue  
unvisited childs : put in Q



सत्पुरुषों के सत्संग-सान्निध्य से अभिमान दूर हो जाता है।

BFS Shortest path b/w 'i' & 'j'  
No. of nodes

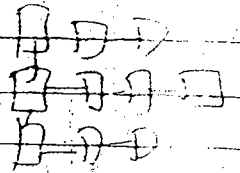
Time & Space Complexity of BFS/DFS

if Graph represented as

i) Adj matrix =  $O(n^2)$

is shown ↑

ii) Adj list repr =  $O(n+e)$



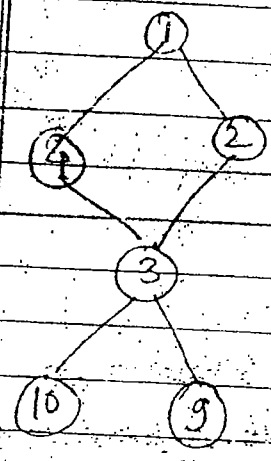
मुसीबतों को महत्त्व देने से वे बढ़ती हैं।

# Articulation point (cut-vertex)

## Biconnected Graphs (Bic Comp.)

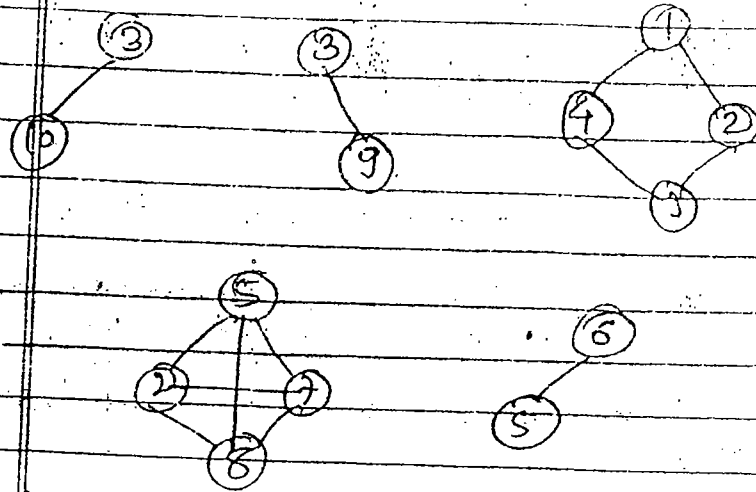
Dfs

Biconnected Graph: Should not have Articulation point.



Maximal Component of Graph which is biconnected is said to be biconnected component.

## 5 biconnected components



Biconnected Components: Max. always  
Max No. of Bic. graphs

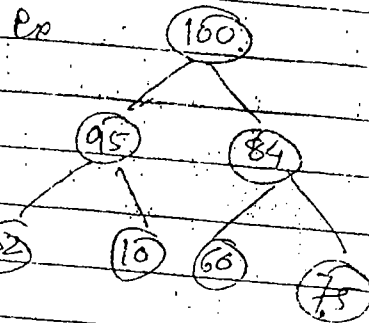
⊗ What

पुसीब्रली को महत्त्व देने से वे बढ़ती हैं।

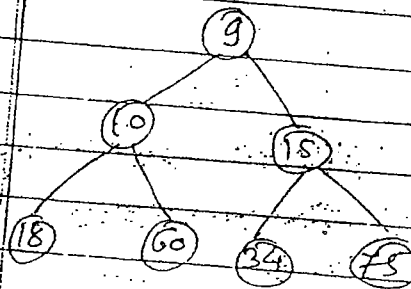
# Heap & Heapsort

पृष्ठ नं. 46/40

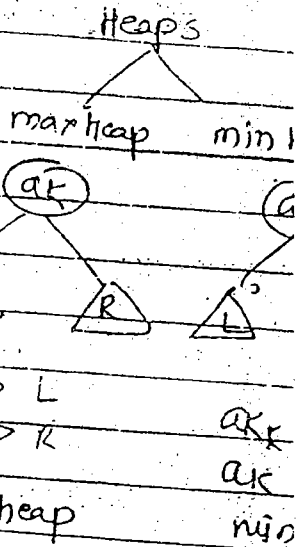
Heap is Complete binary Tree \*



ex. max heap



min heap



\* default heap is max heap.

Binary Search Tree :  $(L \leq a_k < R)$   
 lexically ordered Tree

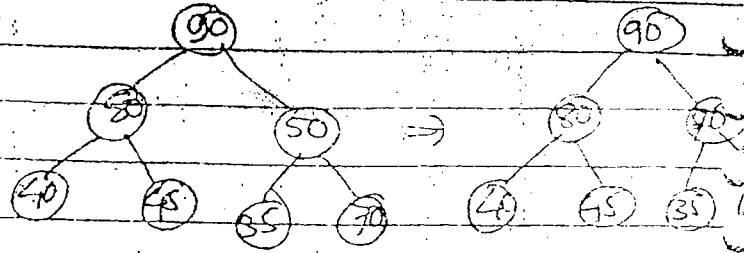
② Construction of heap:

③ Insertion method :

$\langle 40, 80, 35, 90, 45, 50, 70 \rangle$

संतुष्टों के सत्संग-सान्निध्य से अभिमान दूर हो जाता है।

Add 30



Final

## ② Complexities

Best Case

Worst Case

all

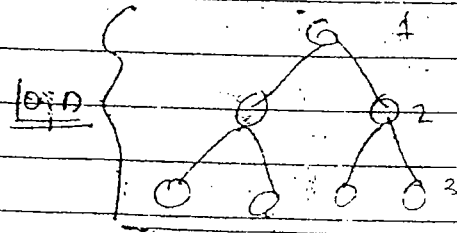
if elements are  
in decreasing  
order

Ascending  
order

Complexity

$O(n)$

and  $O(n \log n)$



i) Complexity  
 $\log n$  more

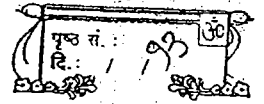
for any node at level  $i$

$\hookrightarrow i-1$  Level Complexity

max No of nodes at any  
level  $i = 2^{i-1}$

for one level  $2^{i-1}$  elements are

सतुर्रुषु के सतुसंग-सलनुधु से अडुडलन डूर हु अतल है। present



No. of Comparisons for each element is  
 $(i-1) \times 2$   $i-1$  Single level.

No. of Comparisons for Complete Tree.

$$F(n) = \sum_{i=1}^K (i-1) \cdot 2^{i-1}$$

$$n = 2^K$$

$$K = \log_2 n$$

$$F(n) < (K+1-1) \cdot 2^{K+1-1}$$

$$F(n) < K \cdot 2^K$$

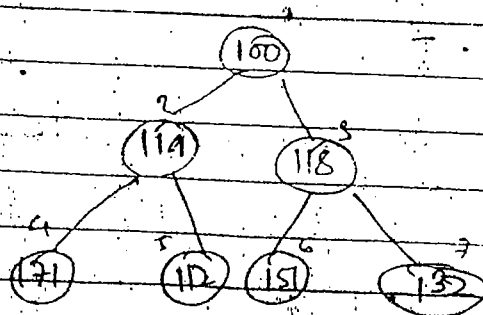
$$F(n) < n \cdot \log_2 n$$

using  $2^K = n$

$$F(n) = O(n \log n)$$

b) Heapify method

Transforming Binary Tree  
into Heap



पुसीबतों को महत्त्व देने से वे बढ़ती हैं।

No. of Comparisons for each element is  
 $(i-1) \times 2^{i-1}$  Single level

No. of Comparisons for Complete Tree.

$$F(n) = \sum_{i=1}^K (i-1) \cdot 2^{i-1}$$

$$n = 2^K$$

$$K = \log_2 n$$

$$F(n) < (K+1-1) \cdot 2^{K+1-1}$$

$$F(n) < K \cdot 2^K$$

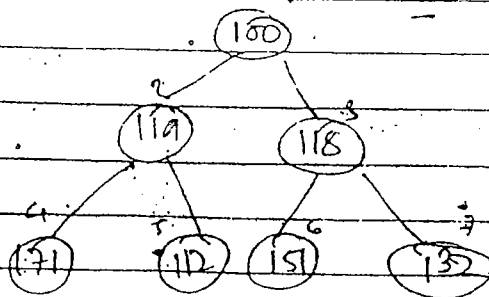
$$F(n) < n \cdot \log_2 n$$

using  $2^K = n$

$$F(n) = O(n \log n)$$

b) Heapify method:

Transforming Binary Tree into heap

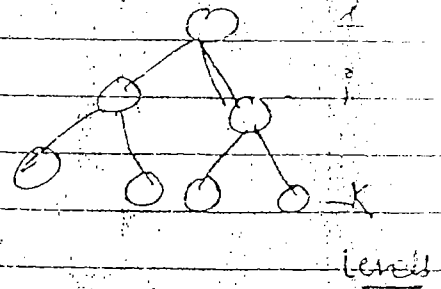


मुसीबतों को सहन देने से वे बढ़ती हैं।



## Complexity

②



For Any node being adjacent at level  $i$   
Squares

$K-i$  Comparisons  
downward

max No. of Nodes at any level  $i$   
are  $2^{i-1}$

Total No. of Comparisons for all  
Nodes at level  $i$

$$(K-i) \cdot 2^{i-1}$$

Total No. of comp in Complete Tree  
of level  $K$

$$P(n) = \sum_{i=1}^K (K-i) \cdot 2^{i-1}$$

④

$$1 \leq i \leq K-1$$

multiply -

$$-1 \geq -i \geq 1-K$$

मुसीबतों को महत्त्व देने से वे बढ़ती हैं।

Add K to each term

$$K-1 \geq K-i \geq 1$$

$$1 \leq K-i \leq K-1 \quad \checkmark$$

let  $K-i = x$

$$i = K-x$$

$$f(n) = \sum_{1 \leq x \leq K-1} x \cdot 2^{K-x-1}$$

$$= \sum_{1 \leq x \leq K-1} x \cdot 2^K \cdot 2^{-x-1}$$

$$= 2^K \sum_{1 \leq x \leq K-1} \frac{x}{2^{x+1}}$$

$$= \frac{1}{2^2} + \frac{2}{2^3} + \frac{8}{2^4} + \dots$$

decreasing fastly  
can be equate some  
constant C

$$2^K \cdot C$$

but  $n = 2^K = nC$

$$\therefore f(n) = O(n)$$

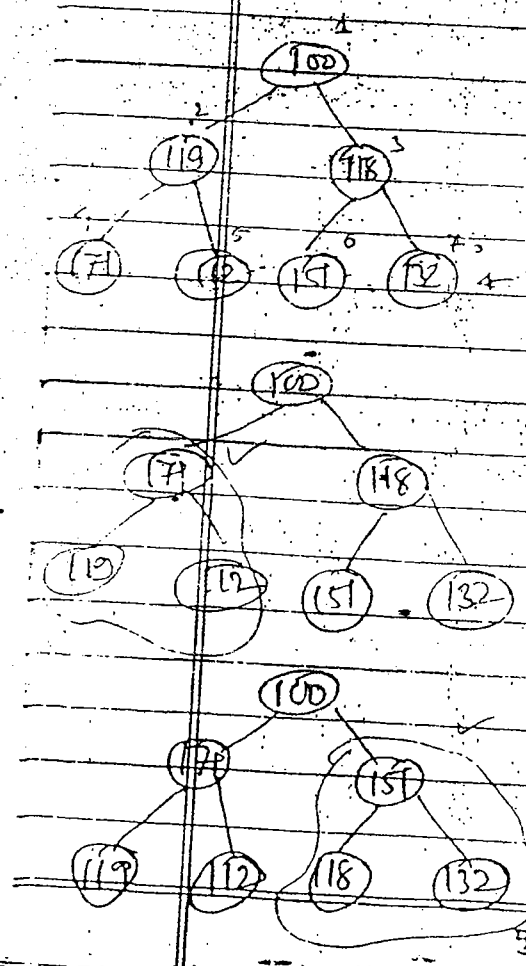
सत्युर्षों के सत्संग-सान्निध्य से अभिमान दूर हो जाता है।

# Heap sort

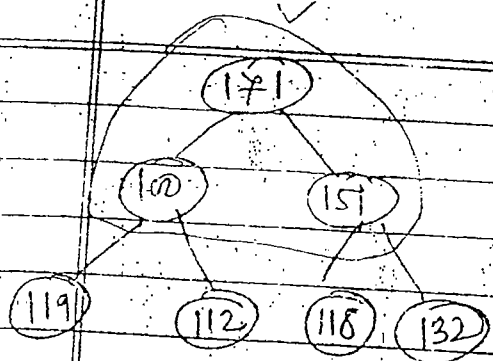
- 1) Heapify  $O(n)$
- 2) Exchange Root with ~~Root~~ <sup>last</sup> most child
- 3) Add all elements in Array 

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|--|--|--|--|
- 4) Now the last element is pivoted (fixed)
- 5) Now consider 1 to last but 1 element only
- Repeat 1-5

## Heap sort $O(n \log n)$



पुसीबतों को महत्त्व देने से वे बढ़ती हैं।



• max heap form.

# Heap Sort

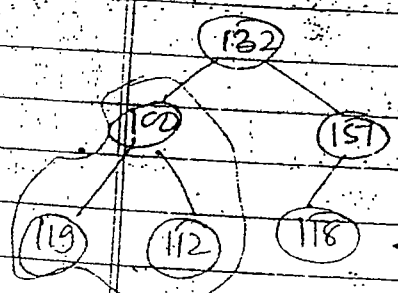
171 100 151 119 112 118 132

exchange

$$171 \leftrightarrow 132$$

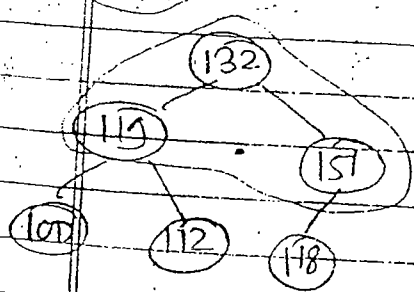
Consider  $1-(n-1)$  elements only

132

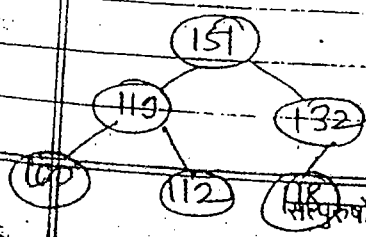


132 100 151 119 112 118 171

Fix



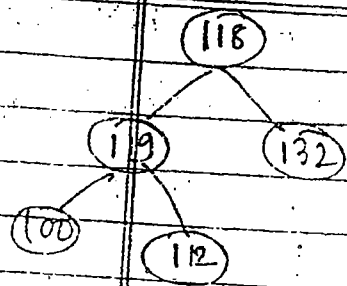
$$151 \leftrightarrow 118$$



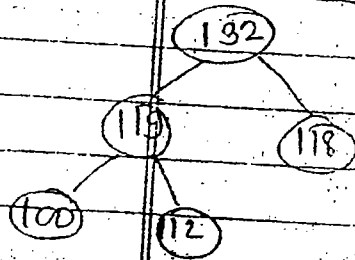
118 119 132 100 112 151 171

Fix

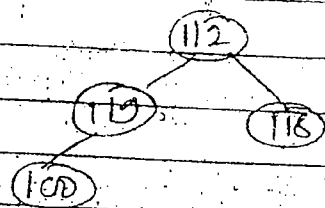
समूहों के सतसंग-सान्निध्य से अभिमान दूर हो जाता है।



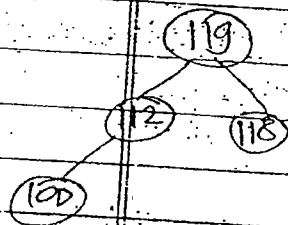
Heapify



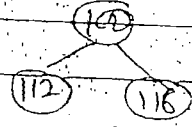
Exchange & Remove  $132 \leftrightarrow 112$



Heapify

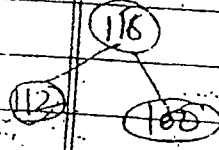


$119 \leftrightarrow 100$

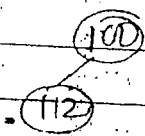


$(100, 112, 118, 119, 132, 151, 171)$

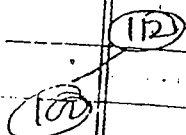
Fix



$118 \leftrightarrow 100$



Heapify



$112 \leftrightarrow 100$



$100, 112, 118, 119, 132, 151, 171$

$100, 112, 118, 119, 132, 151, 171$

Fixed

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# P, NP, NP-Hard & NP-Complete Concepts

- Classification of problem
- Non Deterministic Computation
- problem definition
- Decision vs Opt. problem
- classes of P & NP.
  - Cook's Theorem
  - Reducibility
  - polynomial Equivalence
  - NP-hard & NP complete

Strategy to to  
NP-H and NP-C

• Case study

problems  
Classification  
Those have

procedure  
with Algo

(decidable)

procedure  
without  
Algo

(undecidable problem)

ex. Halting prob. of TM.

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procedure with Algo (decidable prob)

Runs of two machines

deterministic  
m/c

Non deterministic  
m/c

depending upon machines The problems  
have complexities in 2 types

① polynomial time based  
Time Complexity

② Exponential based  
Time Complexity

(Tractable problem)

(Intractable Time  
Complexity)  
Intractable problem

ex. Sorting, searching  
JSD. greedy  
dynamic

0/1 Knapsack  
Graph Coloring  
Hamiltonian  
Travel salesman prob

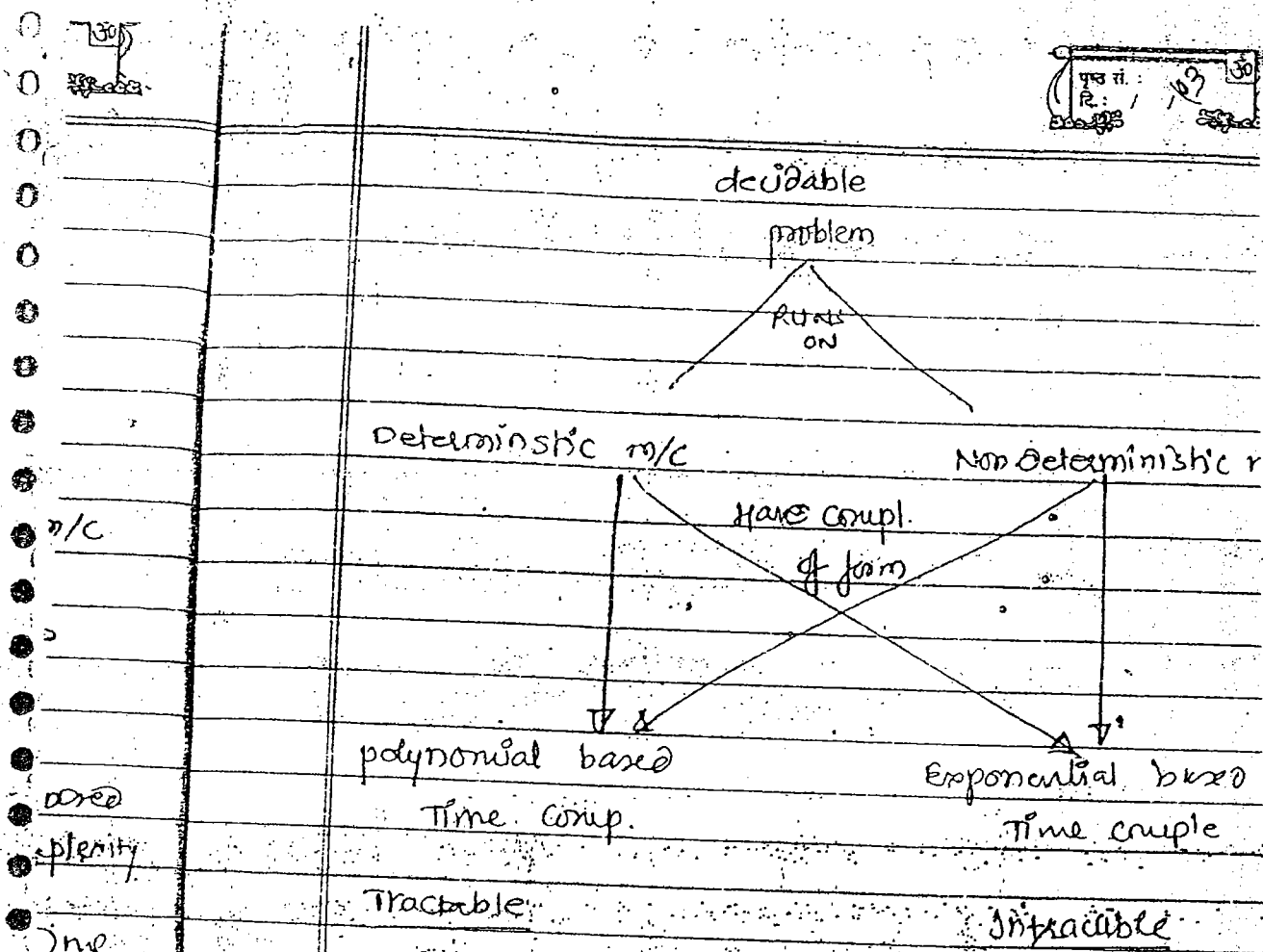
Have complexity

$$a^{n^2}$$

I class

II class

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objective of NP & NP-C

it is mechanism

see key to prove prob. of II class are computationally related to each other

Why to prove ↑

if any one is solvable by a particular method then others prob can also be solved.

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## • Non-deterministic Computation:

### \* Non-Deterministic Algorithm:

Non-deterministic Algorithm contain operations whose outcomes are not uniquely defined but are limited to specified sets of possibilities.

The machine (Abstract) executing such operation is allowed to choose any one of these outcomes, subject to a termination condition.

The Non-deterministic algo introduces 3 new function

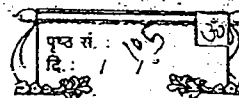
- 1) Choice (S): arbitrarily chooses one of elements of S
- 2) failure (C): signals unsuccessful completion
- 3) Success (C): signals a successful completion.

Choice (C),  
failure (C),  
Success (C) } all have complexity  $O(1)$

\*\*\*

A Non-deterministic algorithm terminates unsuccessfully if and only if there exists NO set of choices leading to successful signal.

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Consider Algo

1 Non Deterministic Search:

$A[1 \dots n], x$

(Search on Non-Deterministic m/c)

d to

procedure ND-Search ( $A, n, x$ )

{

1.  $j \leftarrow \text{choice}(1, n)$  —  $O(1)$

2. if ( $A[j] = x$ ) then —  $O(1)$

    write ( $j$ )

    success ();

3. }

    write ('0')

    failure ();

}

choice ( $1, n$ )

    chooses Arbitrary No

    it is Not Random No.

⇒ Complexity of this algo  $O(1)$   
This is what power of NDM

The Best Search ever BS has

$O(\log n)$

But

Non Deterministic Machine

Search have Complexity  $O(1)$

NO

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## 2 Non-Deterministic Search Sorting:

N

Algorithm NSORT(A, n)

Initialize

$O(1)$

for  $i = 1$  to  $n$

$B[i] = \emptyset$

for  $i = 1$  to  $n$

sort

$O(n)$

$j \leftarrow \text{choice}(1, n)$

if  $(B[j] \neq \emptyset)$  then failure

$B[i] = A[i]$

for  $i = 1$  to  $n-1$

check

$O(n)$

if  $(B[i] > B[i+1])$  then

failure()

write( $B[1 \dots n]$ ) : success()

Complexity -  $O(n)$

ON Non-deterministic w/c

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## Real Sorting Tech.

No sorting tech on Det machine  
have comp less than  $O(n \cdot \log n)$

### Sorting

Comparison based

Non-comp. based  
mechanical

Radix Sort

|      |   |   |    |    |   |   |   |   |
|------|---|---|----|----|---|---|---|---|
|      | ← |   | 1  | 2  | 3 | 4 | 5 | 6 |
| A    |   | 5 | 18 | 10 | 3 | 6 | 4 |   |
| temp | B | 0 | 0  | 0  | 0 | 0 | 0 |   |

~~A[1]~~ A[1] is placed correctly in  
Array B

4 pm.

for ( i = 1 to n ) check element of

j = choice (1, n) Return correct  
position in B

if ( B[j] ≠ 0 ) failure

} B[j] = A[i] put i<sup>th</sup> element  
in j place

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# Decision Vs optimization problem

Decision problem

Qp is

Yes

No

examples

- Hamiltonian cycle identity
- n-Queen prob.

(max/min)

optimization prob.

Identify optimal

value on

some criteria

defined as prob.

Ex Knapsack

We can Recast optimization prob as decision problem.

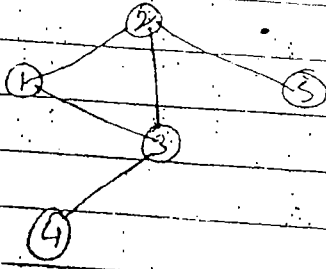
##

1

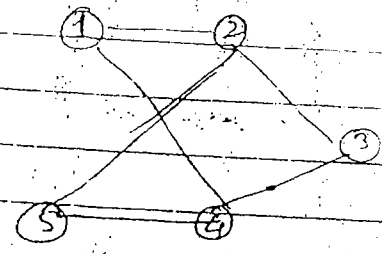
Max-Clique problem

The maximal complete subgraph of a Graph  $G(V, E)$  is a clique.

$G(V, E)$



(1)  $G_1$



$G_2$

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in)

prob.

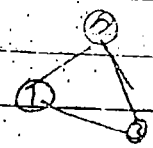
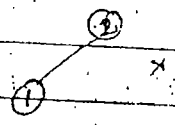
hmal

2

25a

25b

Clique in  $G_1$



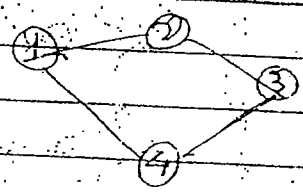
it is clique  
but not  
max. clique

max. clique  
max. complete  
Sub. graph.

This is optimization prob.

$G_2$

Clique: Complete Graph  
every vertex should be  
reachable from every other  
vertex.



Not clique  
b'coz it is not complete  
we cannot reach  
from ② to ④

these are optim. prob.  
we are solv. these by decision method  
iteratively

$\Rightarrow$  does it have max clique of  $n$   
 $n-1$   
 $n-2$   
 $\vdots$

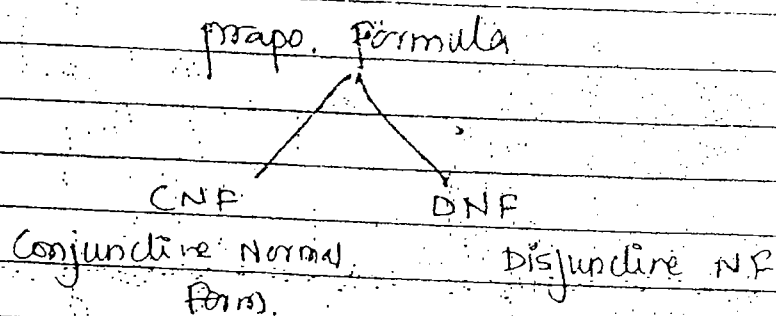
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## 2) Satisfiability problem :

propositional formula.

$F(x_1, x_2, \dots, x_n)$  operation  $\neg, \wedge, \vee$

literal  $x_i$   $\leftarrow$  prime  $\bar{x}_i$



(POS) (A)

(V)

(SOP)

$$F = (x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_3)$$

$\vee \quad \vee \quad \wedge \quad \vee \quad \vee$   
 $K = \text{CNF} \quad K \text{ literals used}$   
 $3 \text{ CNF} \quad \{x_1, x_2, x_3\}$

CNF General formula  $K = \text{CNF}$

$$F = \bigwedge_{i=1}^K G_i \quad G_i = \bigvee_{j=1}^n x_j$$

product of  $G_i$

$\vee$  (or) of all  $x_i$

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$$F = (x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_3) \wedge \dots$$

Satisfiability : decision prob.

Does there exist set of values for  $x_i$  such that the  $F$  value can be determined and it is True.

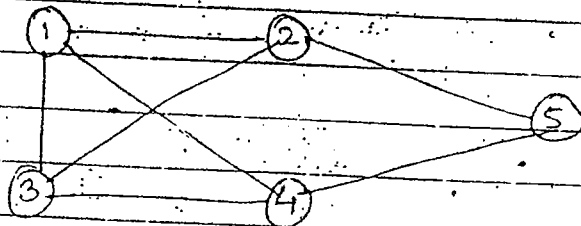
3) Node Cover problem:

~~defn~~

$S \subseteq V$  of  $G(V, E)$

is a node cover of Graph,

iff all the edges of the graph are incident to atleast one vertex in  $S$ .

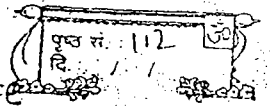


• minimum Res Node Cover :  $\{2, 4\}$   
all edges meet node  $\{2, 4\}$

also node cover is  $\{1, 3, 5\}$   
 $\{1, 2, 4\}$

Comp.

# P, NP, NP-Hard, NP-complete



P: P is the set of all decision problems solvable by deterministic algorithm in polynomial time.

NP: NP is a set of all decision problems solvable by non-deterministic algo in polynomial time.

since deterministic algorithm are special case of non-deterministic prob.  
P is subset of NP.

P: scheduling, sorting •  $P \subseteq NP$

NP: o/t knapsack, TSP, Hamiltonian cycle, graph colouring.

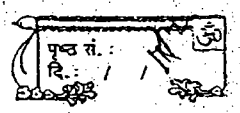
Halting problem  $\begin{cases} \text{Not in P} \\ \text{Not in NP} \end{cases}$

## (\*) Cook's Question.

1. Is there any single problem in NP, such that if it is shown to be in P, then it could imply

$$P = NP$$

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Theorem:

Satisfiability  $\leq NP$

satisfiability  $\Rightarrow N$   
B: 07

deterministic problem  
of satisfiability has 2  
complexity

$$f(x_1, x_2, \dots, x_n)$$

Is there exist values of  $x_1$  to  $x_n$   
such that it satisfy particular condition

deterministic  
check all possible  
combinations  
(max  $2^n$ )

Non deterministic

For  $1 \rightarrow n$   
 $x_i \leftarrow \text{choose}(1, 2)$

$\phi(f(x_1, x_2, \dots, x_n)) = 1$   
boolean

exponential

etc

failure

$O(n)$

Theorem:

Satisfiability is in P  
if and only if  $P = NP$

P

## ① Reducibility:

Let  $L_1$  &  $L_2$  be two problems

prob.  $L_1$  reduces to  $L_2$  if and only if there is a way to solve  $L_1$  by a deterministic polynomial time algo. using a deterministic algorithm that solves  $L_2$  in polynomial time

denoted as  $L_1 \propto L_2$  (reduces)

⇒ This definition implies that if we have polynomial time algo for  $L_2$  then we can also solve  $L_1$  also in polynomial time.

② ⇒ Reducibility is transitive but not symmetric.

$$L_1 \propto L_2$$

$$L_2 \propto L_3$$

$$\Rightarrow L_1 \propto L_3 \quad \checkmark$$

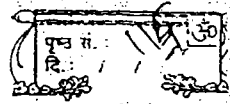
$$L_1 \propto L_2$$

$$L_2 \propto L_3 \quad \times$$

③ But if  $L_1 \propto L_2$  and  $L_2 \propto L_1$

$L_1$  &  $L_2$  are polynomially equivalent

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## NP-Hard

A problem  $L$  is NP-hard if and only if satisfiability reduces to  $L$ .

if  
by

$L$  is NP-Hard.  
Satisfiability  $\propto L$

(Halting problem is NP-H)

to show  $L$  is NP-Hard

show  $L \propto L_1$

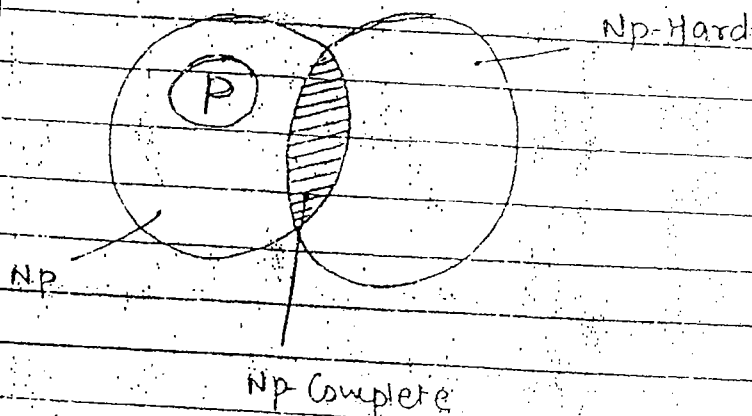
Satisfiability  $\propto L \propto L_1$   $\therefore$  Satisfiability  $\propto L_1$

## ② NP-Complete:

A problem is NP-Complete if and only if  $L$  is NP-Hard and  $L$  belongs to NP.

$\propto$  Halting problem is NOT NP-Complete.

Proof: NP is NP-Hard  
NP  $\not\subseteq$  NP  
NP is in NPC



v. Imp

① Strategy to show prob is in NP-Hard

1. take prob  $L_1$  already known as NP-Hard.  
[seed satisfiability prob]  
satisf  $L_1$  NP-Hard of Cook

2. Show how to obtain a (polynomial deterministic time) and instance  $I'$  from  $L_2$ .  
From any instance  $i$  of  $L_1$  such that from the solution of  $i$  we can determine in polynomial time the solution to instance  $i'$  of  $L_2$ .

③  $L_1 \propto L_2$

④ Satisfiability of  $L_2$

⑤  $L_2$  is NP-Hard.

मुसीबतों को महत्व देने से वे बढ़ती हैं।

1. if  $L_2 \in NP$   
Then  $L_2$  is also NP-Complete.

Example

1. Clique-Decision prob (CDP)  
(K-SAT)  $L_1 \leq L_2$   
CNF-satisfiability  $\leq$  C.P.P

Claim: if formula  $f$  is satisfiable  
and only if  $G$  has a clique of  
size 'K'

Hard. let  $F = \bigwedge_{1 \leq i \leq K} C_i$  be a proposition  
formula in CNF.

let  $1 \leq x_i \leq n$  be a variable in  $F$

eminish

we show how to construct from  $F$  a  
graph  $G$ , consisting  $(V, E)$ , such that  
 $G$  has a clique of size 'K' if  
and only if  $f$  is satisfiable.

$G(V, E)$

$V = \{ \langle \sigma, i \rangle / \sigma \text{ is a literal in } G_i \}$

$E = \{ \langle \sigma, i \rangle \langle \delta, j \rangle / i \neq j \text{ and } \sigma \neq \bar{\delta} \}$

सत्युत्तरों के सतसंग-सानिध्य से अभिमान दूर हो जाता है।

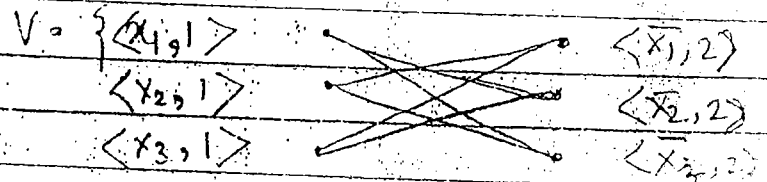
Ex.  $\Pi$  of  $L_1$

$$F = (x_1 \vee x_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3)$$

class<sub>1</sub>

class<sub>2</sub>

$\Pi'$  of  $L_2$



$G(V, E)$

We can derive  $\Pi'$  from  $\Pi$  in polynomial time

maximum clique problem

$$\begin{aligned} (\langle x_1, 1 \rangle, \langle \bar{x}_2, 2 \rangle) &= 2 \\ (\langle \bar{x}_2, 1 \rangle, \langle \bar{x}_1, 2 \rangle) &= 2 \end{aligned} \quad K=2$$

satisfiability of CDP

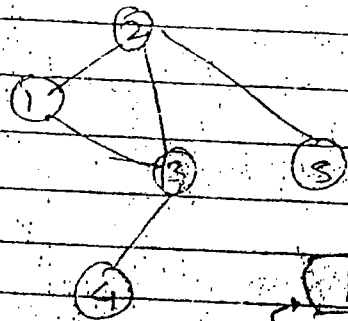
$\therefore$  CDP is NP-Hard

मुसीबतों को मुहल्ल देने से बढती है।

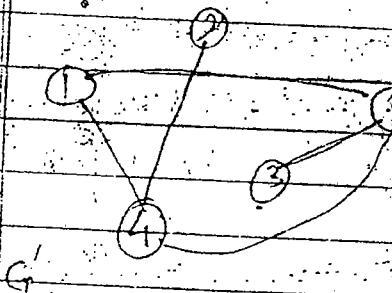
# Node Cover Decision problem.

NCDP

C.D.P  $\propto$  NCDP  
L<sub>1</sub> L<sub>2</sub>



$G(V, E)$



$G'$

from graph  $G(V)$  derive a.

graph  $G'$  as follows.

$G' = (V, E')$

$E' = \{ (u, v) \mid u, v \in E \text{ and } u, v \notin E \}$

min. Node cover  $= n - K$

$= 5 - 3$

$= 2$

min. Node Cover  $= \{4, 5\}$

$= 2$

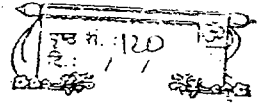
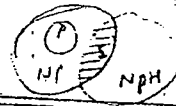
satisfiability  $\propto$  NCDP.

$\&$  NCDP  $\in$  NP

$\therefore$  NCDP is NP-complete.

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Gate 20



Q. let  $S$  be an NP Complete prob.  
 $Q, R$  be two other problems  
Not known to be NP.

$Q$  is polynomial time reducible to  
 $S$  and  $S \rightarrow R$   
then which of foll. is True

$\Rightarrow S$  is NP C  $Q \rightarrow S \rightarrow R$

Satisfy  $\alpha$  S  
 $S \rightarrow R$

$R$  is NPH

- 1  $R$  is NP C
- 2  $R$  is NP H
- 3  $Q$  is NP C
- 4  $Q$  is NP H

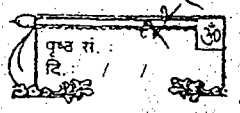
Q2

~~Q2~~

let  $TA \in NP$  then which of foll. is True

- a) There is no polynomial time algo.
- b) If  $TA$  can be solved in deterministic polynomial time then  $P = NP$ .

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✓ (c) If  $\Pi_A$  is NP-Hard & it is NP-Complete

(d)  $\Pi_A$  may be undecidable.

## NP-Hard, NP-Complete problems

पृष्ठ नं. 122

decision problem:

A problem for which answer is either yes or no is called decision problem.

optimization problem:

A problem that involves identification of an optimal value (either min/max) is known as optimization problem.

We are to discuss only Non-deterministic decision problems:

- successful completion :- if o/p is 1
- If there is no sequence of  $x$  leads to successful completion  $\Rightarrow 0$

Many optimization problems can be recast into decision problems with property that the decision problem can be solved

• The classes NP-hard and NP-complete

# Reducibility:

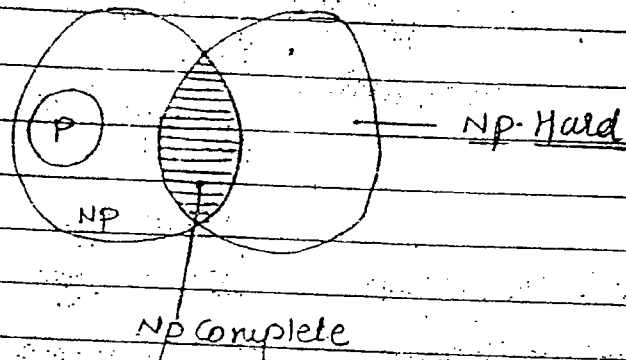
if  $L_1 \leq L_2$   
and if there is polynomial time algo for  $L_2$ ,  
then we can solve  $L_1$  in polynomial time.

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## ## Satisfiability:

problem  $L$  is NP-hard if and only if satisfiability  $\propto L$   
(satisfiability reduces to  $L$ )

① A problem  $L$  is NP-complete if and only if  $L$  is NP-hard and  $L \in NP$ .



\* Only a decision problem can be NP-complete.

\* Optimization problem may be NP-Hard.

② If  $L_1$  is decision problem  
 $L_2$  is optimization problem  
then it is quite possible that  
 $L_1 \propto L_2$

(i) Knapsack decision problem reduces to Knapsack optimization problem

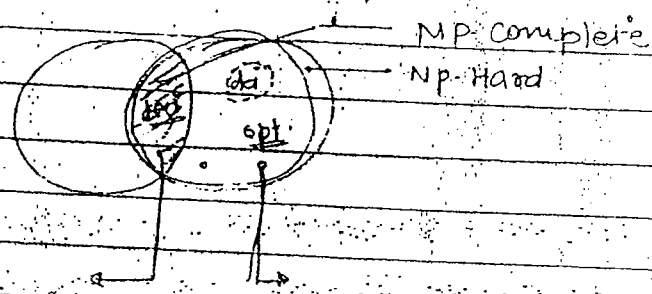
(ii) Clique decision problem also can be reduced to clique optimization prob.

सत्युक्तों के सतसंग-सान्निध्य से अभिमान दूर हो जाता है।

∴ we can easily show that (also)  
optimization problems can be reduced  
to corresponding decision problems.

Yet optimization prob. cannot be NP-Complete.

There are NP-Hard decision prob. that  
are NOT NP-Complete.

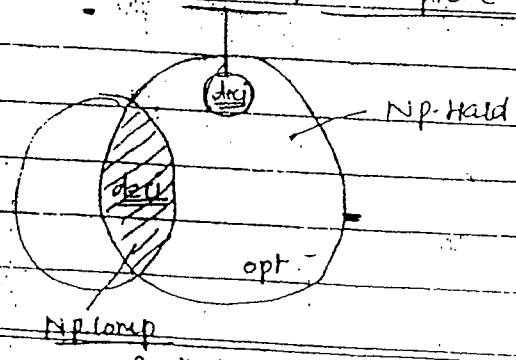


Decision prob.  
Only a decision prob. can be  
NP-Complete.

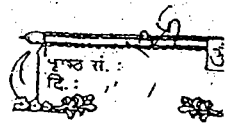
optimization prob.  
optimization problem  
may be NP-Hard

\* optimization prob. cannot  
be NP-Complete

∴ There <sup>also</sup> exist NP-Hard decision problems  
↳ those are NOT NP-Complete



मुसीबतों को महत्व देने से वे बढ़ती हैं।



used

complete

An Extreme Example of NP-Hard decision prob that is not NP-complete is -  
 "Halting problem of deterministic Alg"

- prob is undecidable
- There is no algorithm.
- It is not P nor NP

To show "Satisfiability & halting prob"  
 Algo. A halts if and only if X is satisfiable  
 It is NP-hard but not in NP

(\*) Two problems  $L_1$  &  $L_2$  are said to be polynomially equivalent  
 $L_1 \leq L_2$  &  $L_2 \leq L_1$

is not

view

COOKS Theorem:

Cooks Theorem states that satisfiability is in P if and only if  $P = NP$

(\*) we already shown that satisfiability is in NP

Big Time Complexity of satisfiability prob is  $O(2^n)$   
 $F(x_1, x_2, x_3, \dots, x_n)$

$2^n$  times are req. for max. possibilities  
 सत्यता के सबसे अधिक संभावित से अधिक दूर हो जाता है

⊛ Satisfiability is P if  $P = NP$ .

मुसीबतों को महत्व देने से वे बढ़ती हैं।